

To,  
 Listing Department  
 National Stock Exchange of India Limited  
 Exchange Plaza, C-1, G Block, Bandra Kurla Complex, Bandra  
 (East),  
 Mumbai - 400 051.  
**Symbol: ANGELONE**

Department of Corporate Service  
 BSE Limited  
 Phiroze Jeejeebhoy Towers,  
 Dalal Street,  
 Mumbai - 400 001.  
**Scrip Code: 543235**

**Subject: Disclosure under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.**

Dear Sir / Ma'am,

Pursuant to the provisions of Regulation 30 read with sub-para 20, Para A, Part A, Schedule III of the SEBI (Listing Obligations and Disclosure Requirements), Regulations 2015 (Listing Regulations), we hereby submit the disclosure regarding the settlement order passed by Securities and Exchange Board of India (SEBI)

The details of the above order as required under Regulation 30 of Listing Regulations read with industry standards on Regulation 30 are given below:

<b>Name of the Listed Entity</b>	Angel One Limited
<b>Type of communication received</b>	Settlement Order No.SO/MS/RG/2026-27/8564-8565, passed under Section 15JB of SEBI Act, 1992 read with Regulation 23(1) of SEBI (Settlement Proceedings) Regulations, 2018, disposing of adjudication and enquiry proceedings.
<b>Name of the authority from whom communication received</b>	Securities and Exchange Board of India (SEBI)
<b>Nature and details of the action(s) taken or order(s) passed / Brief summary of the material contents of the communication received, including reasons for receipt of the communication</b>	<p>Settlement Order No.SO/MS/RG/2026-27/8564-8565, passed under Section 15JB of SEBI Act, 1992 read with Regulation 23(1) of SEBI (Settlement Proceedings) Regulations, 2018, disposing of adjudication and enquiry proceedings in respect of following:</p> <p>SEBI had initiated adjudication and enquiry proceedings against our Company Angel One Limited (<b>AOL</b>) for alleged violations relating to supervision and monitoring of Authorised Persons under Regulation 27 of SEBI (Stock Brokers) Regulations, 1992 read with Chapter V and VI of SEBI (Intermediaries) Regulations, 2008 read with Section 12(3) of SEBI Act, 1992.</p> <p>AOL filed settlement applications bearing Settlement Application No. 8564/2025 and 8565/2025 (hereinafter collectively referred to "said applications" / "subject applications") with SEBI under the SEBI (Settlement Proceedings) Regulations, 2018, without admission or denial of findings.</p> <p>AOL submitted Revised Settlement Term ('RST') dated February 13, 2026, wherein AOL proposed to Rs. 4,28,01,600/- (Rupees Four Crores Twenty-Eight Lakhs One Thousand Six Hundred only) towards settlement terms. The same was duly accepted and AOL remitted the said amount.</p>



**Corporate & Regd Office:**  
 601, 6th Floor, Ackruti Star, Central Road, MIDC,  
 Andheri (E), Mumbai - 400093.  
 T: (022) 4000 3600  
 F: (022) 4000 3609  
 E: support@angelone.in  
 www.angelone.in

**Angel One Limited**  
 CIN: L67120MH1996PLC101709,  
 SEBI Registration No Stock Broker: INZ000161534,  
 CDSL: IN-DP-384-2018, PMS: INP000001546,  
 Research Analyst: INH000000164, Investment Advisor: INA000008172,  
 AMFI Regn. No. ARN-77404, PFRDA, Regn. No.-19092018.

	On receipt of settlement amount adjudication proceedings initiated against AOL was disposed of.
<b>Period for which communication would be applicable, if stated</b>	NA
<b>Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority / Date of Receipt of Communication</b>	June 15, 2026
<b>Details of any penalty or restriction or sanction imposed pursuant to the communication</b>	There is no penalty levied by SEBI.  AOL remitted Rs. 4,28,01,600/- (Rupees Four Crores Twenty-Eight Lakhs One Thousand Six Hundred only) towards settlement, and upon receipt of the said amount, the adjudication proceedings initiated against AOL were duly disposed of.
<b>Details of the violation(s) / contravention(s) committed or alleged to be committed / Details of any aberrations/non-compliances identified by the authority in the communication</b>	Alleged violations relating to inadequate supervision and monitoring of Authorised Persons, including failure to detect unauthorized fund collection, insufficient due diligence, and non-compliance with applicable SEBI Regulations and Exchange circulars.
<b>Details of any aberrations/non-compliances identified by the authority in the communication</b>	The authority's investigation and issuance of SCN alleged violations by AOL relating to inadequate supervision and monitoring of Authorised Persons, including failure to detect unauthorized fund collection, insufficient due diligence, and non-compliance with applicable SEBI Regulations and Exchange circulars.
<b>Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible / Expected financial implications on the listed company, if any</b>	AOL remitted Rs. 4,28,01,600/- (Rupees Four Crores Twenty-Eight Lakhs One Thousand Six Hundred only) towards settlement, and upon receipt of the said amount, the adjudication proceedings initiated against AOL were duly disposed of.
<b>Action(s) taken by listed company with respect to the communication</b>	AOL remitted Rs. 4,28,01,600/- (Rupees Four Crores Twenty-Eight Lakhs One Thousand Six Hundred only) towards settlement, and upon receipt of the said amount, the adjudication proceedings initiated against AOL were duly disposed of.

#### For Angel One Limited

**Naheed Patel**  
**Company Secretary and Compliance Officer**  
**ACS: 22506**

**Date:** June 15, 2026

**Place:** Mumbai



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