

Ref. No.: AUSFB/SEC/2026-27/213

Date: September 8, 2026

To,

National Stock Exchange of India Ltd. Exchange Plaza, C-1, Block G, Bandra Kurla Complex, Bandra (East), Mumbai 400051, Maharashtra. NSE Symbol: AUBANK	BSE Limited Phiroze Jeejeebhoy Towers, Dalal Street, Mumbai 400001, Maharashtra. Scrip Code: 540611
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Dear Sir/Madam,

Sub: Allotment of Equity Shares pursuant to exercise of Employees Stock Options

Ref: Disclosure under Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

We hereby inform that the Bank has allotted 4,11,669 equity shares of face value of Rs. 10/- each on September 8, 2026, pursuant to exercise of equivalent number of Employee Stock Options under the following ESOPs as per the applicable regulations:

Particulars	No. of Equity Shares
ESOP 2016	14,111
ESOP 2018	18,828
ESOP 2020	2,15,966
ESOP 2023	1,62,764
Total	4,11,669

The paid-up equity share capital of the Bank will accordingly increase from Rs. 7,50,57,80,960 to Rs. 7,50,98,97,650.

This is for your information and records.

Thanking You,

Yours faithfully,

For AU SMALL FINANCE BANK LIMITED

Manmohan Parnami
Company Secretary and Compliance Officer
Membership No.: F9999

Registered Office

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