Pune E-Stock Broking Limited Member NSE BSE MCX

1198, Shukrawar Peth Subhashnagar Lane No. 3 Near Hirabaug Pune 411002
Tel.: +91 2041000600 **Web:** www.pesb.co.in **Email:** info@pesb.co.in
Fax: +91 2024498100 **CIN No.:** L67120PN2007PLC130374

28th August, 2026

To,
BSE Limited
Corporate Relations Department
Phiroze Jeejeebhoy Towers Dalal Street,
Mumbai- 400 001

Scrip Code: 544141

Sub: Disclosure under Regulation 30 of SEBI (LODR) Regulations, 2015 – Levy of Penalty by BSE Limited in relation to delayed application for trading approval for warrants coversion.

Dear Sir/Madam,

With reference to the above-captioned mail issued by BSE Limited, equity shares issued under a preferential issue within 7 working days from the date of grant of Listing approval by the Exchange as per sub point 4 of point 4 of SEBI Master circular SEBI/HO/CFD/PoD-2/P/CIR/2023/00094 dated June 21, 2023, ("SEBI Circular") In this regard, kindly note that as per SEBI Master circular SEBI/HO/CFD/PoD-2/P/CIR/2023/00094 dated June 21, 2023,:

Regulation	**Fine**
Listed entities shall make an application for trading approval to the stock exchange/s within 7 working days from the date of grant of listing approval by the stock exchange/s.	Rs. 20,000/- per day of non-compliance till the date of compliance.

Accordingly, the fine calculated for the aforesaid non-compliance as on the date of this email is given hereunder:

Case ID 277378 (1,00,000 shares)	**Due date of compliance**	**Actual date of compliance**	**No. of days of non-compliance**	**Fine amount (Rs.)**
	05/08/2026	20/08/2026	15	3,00,000+18% GST

In reply to the Letter issued by the Company, the Company made its reply to BSE on 24/08/2026

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Dear Madam,

We respectfully submit that the interests of investors in relation to the present listing of equity shares have not been adversely affected by the delay in filing the application for trading approval. This is because the equity shares issued under the present issue, aggregating to 1,00,000 equity shares, are subject to lock-in requirements of eighteen (18) months for promoters and six (6) months for non-promoters, respectively, commencing from the date of grant of trading approval, in accordance with Regulation 167 of the SEBI (Issue of Capital and Disclosure Requirements) Regulations, 2018.

The delay in filing the application for trading approval was purely procedural and administrative in nature and occurred due to circumstances beyond the control of the Company. In this regard, the Credit of Shares letter issued by NSDL was received by the Company on 19th August 2026, despite multiple follow-ups made with RTA & NSDL. As per the SEBI circular dated 11 November 2024, the application for trading approval is required to be accompanied by confirmation of credit of shares from the depository. Accordingly, the Company was unable to complete and file the application prior to receipt of the said confirmation.

Immediately upon receipt of the credit confirmation from the depository on 19 August 2026, the Company proceeded to file the application for trading approval without any further delay. In view of the foregoing facts and circumstances, and considering that no prejudice has been caused to investor interests, we respectfully request your good office to kindly take the above on record and grant approval to the application filed for trading approval.

We, request you to kindly take the above on record.

Thanking You,

For and on behalf of Pune E Stock Broking Limited

Ashwini Ashish Kulkarni

Digitally signed by Ashwini Ashish Kulkarni
Date: 2026.08.28 12:40:12 +05'30'

Ashwini Kulkarni
Company Secretary and Compliance Officer
ICSI Membership Number: A31274