

BEFORE THE APPELLATE AUTHORITY
(Under the Right to Information Act, 2005)
SECURITIES AND EXCHANGE BOARD OF INDIA

Appeal No. 6993 of 2026

Virendra Kumar : Appellant

Vs

CPIO, SEBI, Mumbai : Respondent

ORDER

1. The appellant had filed an application dated June 29, 2026 (received by SEBI through RTI MIS portal) under the Right to Information Act, 2005 (“**RTI Act**”). The respondent, by a letter dated July 09, 2026, responded to the application filed by the appellant. The appellant filed an appeal dated July 24, 2026 (Reg. No. SEBIH/A/E/26/00272). I have perused the application, the response of the respondent and the appeal and find that the matter can be decided based on the material available on record.

2. **Queries in the application** - The appellant, in his application, sought the following information:

“1. Please provide details of the action taken by SEBI on the findings, observations and misconduct recorded in the IGRP members’ order against Bonanza Portfolio Ltd., which were specifically brought to the notice of SEBI through SCORES Complaint No. SEBIE/UC26/HAR/019942/1.

2. Please provide copies of all file notings, internal notes, office notes, correspondence, communications, or records available with SEBI relating to the examination of the following allegations raised in the aforesaid complaint:

(a) Unauthorized F&O trades executed in the complainant's account.

(b) Sale of demat securities without consent or prior intimation.

(c) Non-production of order placement evidence by the broker.

3. Please provide copies of any explanation, documents, audit trail, order logs, IP logs, dealer terminal records, compliance reports, or any other records sought by SEBI from Bonanza Portfolio Ltd. in connection with the above allegations.

4. Please inform whether any regulatory, supervisory, inspection, enforcement, disciplinary, or other action has been initiated against Bonanza Portfolio Ltd. in connection with the allegations and observations referred to above. If yes, kindly provide copies of the relevant records available on file.

5. Please provide copies of any correspondence or communications exchanged between SEBI and NSE relating to the issues mentioned above.

6. Please provide copies of any examination note, action taken note, decision note, office note, or other records prepared by SEBI while disposing of SCORES Complaint No. SEBIE/UC26/HAR/019942/1.

7. If any of the requested information is not available on record, kindly specify the same and indicate the relevant record retention policy, if applicable.

8. Please provide the name and designation of the officers who examined and approved the disposal of SCORES Complaint No. SEBIE/UC26/HAR/019942/1.”

3. **Reply of the Respondent** – The respondent, in response to query nos. 1 to 8, in the application, informed that the information sought does not pertain to the appellant. Notwithstanding the aforesaid, the respondent informed that the complete ATR of SCORES complaint No. SEBIE/UC26/HAR/019942/1 can be accessed from SCORES portal after logging in with the credentials.

Further, respondent informed that the complaint handling process on SCORES is electronic and the ATR contains all information related to processing of the complaint. No separate file noting is maintained outside the system. All action, observations, clarification sought and responses received are recorded as part of the action history.

The respondent also stated that the names of the officer who examined and approved the disposal of SCORES complaint is available in the ATR and the designation of the officer is available publicly on SEBI website.

4. **Ground of appeal** – On perusal of the appeal, it appears that the appellant is not satisfied with the response of the respondent.

5. I have perused the application and the response provided thereto. The appellant, in his application, has sought details of a SCORES complaint filed by Geeta Rani. I note that respondent had informed that the information sought does not pertain to the appellant. Notwithstanding the aforesaid, the respondent had informed that complete ATR of SCORES complaint No. SEBIE/UC26/HAR/019942/1 can be accessed from SCORES portal after logging in with the credentials. I find that all actions, observations, name of the officers who examined and approved the disposal of SCORES complaint, clarifications sought and responses received are recorded as part of the action history on SCORES. Accordingly, I find that the respondent has adequately addressed the queries in the application.
6. In view of the above observations, I find that there is no need to interfere with the decision of the respondent. The appeal is accordingly dismissed.

Place: Mumbai

Date: August 21, 2026

RUCHI CHOJER

**APPELLATE AUTHORITY UNDER THE RTI ACT
SECURITIES AND EXCHANGE BOARD OF INDIA**