



**USFB/CS/SE/2026-27/50**

**Date:** July 10, 2026

**To,**

**National Stock Exchange of India Limited**  
Listing Department  
Exchange Plaza, C-1, Block G, Bandra Kurla  
Complex, Bandra (E)  
Mumbai – 400 051

**Symbol:** UJJIVANSFB

Dear Sir/Madam,

**Sub: Reply to your letter bearing Ref. No.: NSE/CM/Surveillance/17243 dated July 09, 2026 seeking Clarification /Confirmation on news item appearing in “Media/Publication”**

This is with reference to your captioned letter dated July 09, 2026 which we have received today (enclosed) seeking clarification on **recent news item which appeared in the “Website-www.economicstimes.com” dated July 09, 2026 captioned “Ujjivan SFB enters wealth business, aims Rs 200 crore mutual fund AUM in first year”**

We have been advised to provide clarification/confirmation on the news item and provide our responses on the questions, as listed below together with our responses:

- Whether such negotiations/events were taking place? If so, you are advised to provide the said information along with the sequence of events in chronological order from the start of negotiations/events till date.

We hereby submit that our Bank has ventured into Mutual Fund Distribution in the month of May this year and Mr. Hitendra Jha, the Head-Retail Liabilities, TASC and TPP, during his interaction with ET BFSI, has made the above statement. There is no instance of any negotiations with any third party on this matter.

Sequence of events leading to the above news articles that got published on July 7, 2026 are listed in the below chronological order:

- The authorised Board Committee of the Bank approved the launch and rollout of the mutual fund distribution business on Feb 5, 2026.
  - Mutual Fund Distribution business was officially rolled out for the Bank customers in the month of May 2026
  - Mr. Hitendra Jha face-to-face interview with ET BFSI on June 17, 2026
  - Publishing of the said article on July 7, 2026 (actual date of publishing)
- Whether you/company are aware of any information that has not been announced to the Exchanges which could explain the movement in the trading, if any? Further, you are advised to provide the said information and the reasons for not disclosing the same to the Exchange earlier as required under regulation 30 of the SEBI (LODR) Regulations, 2015.

We hereby submit that the Bank has made all disclosures in accordance with applicable laws and regulations to the Stock Exchanges about all the events and information that may have a bearing on the operation/performance of the Bank including all price sensitive information. Considering that the shares of the Bank are freely traded on the stock exchanges, the Bank will not be in a position to comment on the movement in its share price and in our view, the said news article has no bearing on the price movement of the Bank scrip on July 9, 2026.



**UJJIVAN SMALL FINANCE BANK**

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We further submit that the Bank has promptly intimated the stock exchanges regarding all events and disclosed all information, that have a bearing on the operations/ performance of the Bank, in accordance with Regulation 30 of the Listing Regulations as and when required, and will continue to do so in accordance with applicable law.

On the query of not disclosing to the exchanges, we hereby submit that in line with the industry, distribution of mutual funds and related products has the potential to attract a revenue of ~0.5% to 1% on the projected AUM of Rs. 200 crore and the Bank is expected to earn ~0.5%-1% through distribution of mutual funds and related products (depending upon debt & equity mix), that is likely to generate a revenue of ~1-2 crores, on AUM of Rs. 200 crores, and hence, in our view amounts to an insignificant revenue for the Bank of our size, and is not a material development.

- The material impact of this article on the Company.

As stated above, the said article has no material impact on the Bank.

We hereby humbly reiterate and clarify that all the information which are considered material and necessitate disclosure have been timely furnished by the Bank and we are committed to continue to comply with applicable laws and regulations and keep the stock exchanges duly informed of all the price sensitive information(s). As on date, we have no material price sensitive information which warrants any specific disclosure other than those already disseminated to the public.

We request you to take note of the above.

Thanking You.

**For UJJIVAN SMALL FINANCE BANK LIMITED**

**Sanjeev Barnwal**  
**Company Secretary and Head of Regulatory Framework**

*Encl: As mentioned above*

 900 208 2121

 1800 208 2121

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**National Stock Exchange Of India Limited**

Ref. No.: NSE/CM/Surveillance/17243

Date: July 09, 2026

The Company Secretary  
Ujjivan Small Finance Bank Limited

Dear Madam/Sir,

**Sub: Clarification /Confirmation on news item appearing in “Media/Publication”**

As per regulation 30 of the SEBI (LODR) Regulations, 2015, all listed companies are required to intimate to the Exchanges all the events, information etc. that have bearing on the operation/ performance of the company which include all price sensitive information, etc.

In addition, all listed companies are also required to provide specific and adequate reply to all queries raised by stock exchange(s) with respect to any events or information. The listed company may on its own initiative also, confirm or deny any reported event or information to stock exchange(s) which may have a bearing on the price / volume behavior in the scrip.

**This is with reference to recent news item which appeared in the “Website-www.economictimes.com” dated July 09, 2026 captioned “Ujjivan SFB enters wealth business, aims Rs 200 crore mutual fund AUM in first year”**

In this regard, you are advised to provide clarification/confirmation on the news item in detail including the following as applicable:

- a) Whether such negotiations/events were taking place? If so, you are advised to provide the said information along with the sequence of events in chronological order from the start of negotiations/events till date.
- b) Whether you/company are aware of any information that has not been announced to the Exchanges which could explain the movement in the trading, if any? Further, you are advised to provide the said information and the reasons for not disclosing the same to the Exchange earlier as required under regulation 30 of the SEBI (LODR) Regulations, 2015.
- c) The material impact of this article on the Company.

In case you/company are denying or are unaware of the abovementioned news item and its source, you are advised to state categorically that the abovementioned news item is factually incorrect.

You are therefore advised to provide clarification/confirmation in respect of the above news item to the Exchange before 10-Jul-2026 11:00 AM through **NEAPS > Reports > News Clarification > All** only.

Thanking you  
Your's faithfully,  
**For National Stock Exchange of India Ltd.**

Amit Shinde  
Chief Manager  
Surveillance

\*\*\*This document is electronically generated and does not require digital signature\*\*\*