

BEFORE THE APPELLATE AUTHORITY
(Under the Right to Information Act, 2005)
SECURITIES AND EXCHANGE BOARD OF INDIA

Appeal No. 6870 of 2026

Samar Imran

:

Appellant

Vs

CPIO, SEBI, Mumbai

:

Respondent

ORDER

1. The appellant had filed an application dated April 20, 2026 (received by SEBI through RTI MIS portal) under the Right to Information Act, 2005 (“**RTI Act**”). The respondent, by a letter dated May 05, 2026, responded to the application filed by the appellant. The appellant filed an appeal dated May 07, 2026 (Reg. No. SEBIH/A/E/26/00168). I have perused the application, the response of the respondent and the appeal and find that the matter can be decided based on the material available on record.

2. **Queries in the application** - The appellant, in his application dated April 20, 2026, sought the following information:

“1. Provide copies of complete SCORES case records including:

Complaint bearing Ref No. SEBIE/AP25/VISA/000344/1

Complaint bearing Ref No. SEBIE/AP26/VISA/000957/1

including attachments, replies, and documents uploaded by KFin Technologies.

2. Provide copies of all replies / written submissions / emails submitted by KFin Technologies to SEBI in the above SCORES matters, specifically relating to:

Ref No. HLL/1888557/RARAGESH dated 13/01/2025

Email dated 20/02/2026 issued by B. Raju

3. Provide copies of any internal file notings, examination sheets, or comments recorded by SEBI officials while processing the above SCORES complaints.

4. Provide copies of any documents or records submitted by KFin Technologies to SEBI indicating the basis or internal policy under which:

Family Member Certificate / Legal Heir Certificate was first considered acceptable subject to correction, and

Subsequently stated as not sufficient without succession certificate in the same matter.

5. Provide copies of any communications, emails, or directions issued by SEBI to KFin Technologies in the above SCORES matters.

6. Provide copies of any records available with SEBI showing examination of compliance by KFin Technologies with SEBI Master Circular dated 06/02/2026, in relation to the above SCORES complaints.

7. Provide copies of any recorded reasons, notes, or documents available with SEBI indicating acceptance or otherwise of the position taken by KFin Technologies in the above matter.

8. Provide copies of any regulatory action notes, internal discussions, or recorded observations, if any, in respect of the contradictory stand taken by KFin Technologies in the above SCORES complaints.

Kindly provide the information in certified form as available on record.”

3. **Reply of the Respondent** – The respondent, in response to query nos. 1, 3, 5, 6, 7 and 8 in the application, informed that SCORES platform is an online platform and complaint handling process on SCORES is electronic. The respondent informed that no separate file noting is maintained outside the system. All actions, observations, clarification sought and responses received are recorded as part of the action history on SCORES, which is accessible after logging in with the credentials.

The respondent, in response to query nos. 2 and 4 in the application, informed that the information sought is available as part of ATR of the SCORES complaint which can be accessed by logging in with the credentials.

4. **Ground of appeal** – The appellant has filed the appeal on the ground that he was provided incomplete, misleading or false information.
5. I have perused the application and the response provided thereto. I note that the respondent has categorically informed that all actions, observations, clarifications sought and responses received are recorded as part of the action history on SCORES, which is accessible to the appellant after logging in with the credentials on SCORES. The respondent has also informed that no separate file noting is maintained outside the system. Accordingly, I find that the respondent has adequately addressed the queries by providing the information available with him.
6. In view of the above observations, I find that there is no need to interfere with the decision of the respondent. The appeal is accordingly dismissed.

Place: Mumbai

Date: June 02, 2026

RUCHI CHOJER
APPELLATE AUTHORITY UNDER THE RTI ACT
SECURITIES AND EXCHANGE BOARD OF INDIA