IIFL CAPITAL
TRANSFORMING WEALTH INTO LEGACY

July 25, 2026

The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai 400 001 Tel No.: 22721233 Fax No.: 22723719/22723121/22722037 BSE Scrip Code: 542773	**The Manager, Listing Department, The National Stock Exchange of India Ltd., Exchange Plaza, 5 Floor, Plot C/1, G Block, Bandra - Kurla Complex, Bandra (E), Mumbai 400 051 Tel No.: 2659 8235 Fax No.: 26598237/ 26598238 NSE Symbol: IIFLCAPS**

Dear Sir/ Madam

Sub: Intimation under Regulation 30 of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

Pursuant to Regulation 30 read with Para A of Part A of Schedule III of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI Listing Regulations"), we hereby inform you that the Multi Commodity Exchange of India Limited ("MCX") has imposed a monetary penalty in connection with certain observations arising from its inspection of the Company's Authorised Persons ("APs").

In this regard, the requisite disclosure in terms of Regulation 30 of the SEBI Listing Regulations is provided below:

Sr. No.	Particular	Remark/Update
1	Name of the authority;	Multi Commodity Exchange of India Limited ("MCX")
2	Nature and details of the action(s) taken or order(s) passed;	MCX imposed a monetary penalty aggregating to ₹3,20,000/-, comprising penalties of ₹1,00,000/- each on three Authorised Persons (APs) in relation to movement of funds and securities between clients and the APs, and a penalty of ₹20,000/- for deficiencies observed in the inspection of one Authorised Person, including non-coverage of the prescribed inspection scope and applicable regulatory requirements.
3	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority;	The penalty letters were received on July 24, 2026.

4	Details of the violation(s)/contravention(s) committed or alleged to be committed;	MCX conducted an inspection of the Authorised Persons (APs) for the period from April 1, 2024 to March 31, 2025 and observed, inter alia (i) the APs not providing satisfactory clarification regarding funds transaction in their bank account, and (ii) deficiencies in the inspection conducted by the Company in respect of one Authorised Person, including non-coverage of the prescribed inspection scope and applicable regulatory requirements. Accordingly, MCX has imposed the aforesaid monetary penalty. The penalties shall be recovered from the concerned APs.
5	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible.	Apart from the aforesaid monetary penalty, there is no material impact on the financial, operational or other activities of the Company.

Kindly take the above on your record.

Yours faithfully,

For **IIFL Capital Services Limited**
(Formerly IIFL Securities Limited)

Meghal Abhishek Shah

Digitally signed by Meghal Abhishek Shah
Date: 2026.07.25 14:14:43 +05'30'

Meghal Shah
Company Secretary