

June 04, 2026

To,
BSE Limited (“BSE”)
Phiroze Jeejeebhoy Towers
Dalal Street,
Mumbai 400 001
BSE Scrip Code: 543712

To,
National Stock Exchange of India Limited (“NSE”)
The Listing Department,
Exchange Plaza, Bandra-Kurla Complex,
Bandra (East), Mumbai – 400051
NSE Symbol: **AFSL**

Sub : Intimation for receipt of Order from International Financial Services Centres Authority by Abans Financial Services Limited (IFSC Branch)

Ref : Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“SEBI LODR Regulations”)

Dear Sir/ Madam,

Pursuant to Regulation 30(9) of the SEBI LODR Regulations, it is hereby informed that the Quasi-Judicial Authority for Enforcement (QJAE) of the International Financial Services Centres Authority has issued an order in the matter of Abans Financial Services Limited (IFSC Branch) in relation to the inspection conducted for financial year 2023-24.

The details of the said Order as required under SEBI LODR Regulations read with SEBI Master Circular dated January 30, 2026 are enclosed herewith as **Annexure I**.

The above information is available on the website of the Company at www.abansfinserv.com

Kindly take the same on your record.

For Abans Financial Services Limited
(Formerly known as Abans Holdings Limited)

Bhargavi Halapeti
Company Secretary & Compliance Officer
Membership No.: A23955

Encl: a/a

Abans Financial Services Limited
(Formerly known as Abans Holdings Limited)

Regd. Office: 13A/B/C, 1st Floor, Mittal Chambers, Barrister Rajni Patel Marg, Nariman Point, Mumbai – 400021

CIN: L74900MH2009PLC231660 ☎: +91 22 61790000 📠: 022 61790010

✉: compliance@abansfinserv.com 🌐: www.abansfinserv.com

Annexure I

Disclosure as per Regulation 30 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, along with SEBI Master Circular No. HO/49/14/14(7)2025-CFD-POD2/I/3762/2026 dated January 30, 2026:

Sr. No.	Particular	Details
a.	Name of the authority	Quasi-Judicial Authority for Enforcement (QJAE) of the International Financial Services Centres Authority (IFSCA).
b.	Nature and details of the action(s) taken or order(s) passed	The QJAE of the International Financial Services Centres Authority (IFSCA), vide Order dated June 03, 2026, has imposed a monetary penalty of Rs.8,00,000/- (Rupees Eight Lakh Only) upon the IFSC Branch of the Company under Section 15EA of the SEBI Act, 1992.
c.	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority	June 03, 2026
d.	Details of the violation(s)/contravention(s) committed or alleged to be committed	The penalty has been levied on account of certain observations relating to delay in appointment of compliance officer, contribution of sponsor and certain internal controls, various investor disclosures, asset valuation and periodic reporting to IFSCA.
e.	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible	There is no material impact on financial, operation or other activities of the Company due to this penalty. The Order is currently appealable and the Company will make an assessment to exercise our right to appeal.

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