Emkay©
Your success is our success

10th September, 2026

To, **Listing Department** **National Stock Exchange of India Limited** Exchange Plaza, Bandra Kurla Complex, Bandra (East), Mumbai- 400 051. **Equity Scrip Code: EMKAY**	**To,** **Listing Department** **BSE Limited** P. J. Tower, Dalal Street, Mumbai 400 001. **Equity Scrip Code: 532737** **Debt Scrip Codes : 976528, 977388, 978127**

Dear Sir/ Ma'am,

Sub: Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 - Notice of Postal Ballot to the Shareholders of the Company

We refer to our letter dated 18th August, 2026, wherein we had informed that the Board of Directors of the Company by way of Circular Resolution dated 18th August, 2026, has approved the appointment of Mr. Rajesh Shah (DIN: 06390775) as an Additional Non-Executive Independent Director of the Company for a term of five consecutive years with effect from 19th August, 2026 upto 18th August, 2031, subject to approval of Members of the Company.

In this connection and pursuant to Regulation 30 read with Schedule III Part A (A) (12) of the Security Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and in compliance with the relevant Circulars issued by the Ministry of Corporate Affairs, please find enclosed herewith copy of the Postal Ballot Notice dated 4th September, 2026 along with Explanatory Statement which is being disseminated to the Members of the Company, seeking their approval in relation to the following Resolution:

Description of the Resolution	**Type of Resolution**
To approve Appointment of Mr. Rajesh Shah (DIN: 06390775) as a Non-Executive Independent Director of the Company for a period of 5 years with effect from 19th August, 2026.	Special Resolution

The Postal Ballot Notice, along with the Explanatory Statement, is being sent to all the Members of the Company in electronic mode who have registered their email id with the Depository Participants and with the Company's Registrar to an Issue and Share Transfer Agents i.e. MUFG Intime India Private Limited as on the cut-off date i.e. Tuesday, 8th September, 2026. The Company has engaged the services of Central Depository Services (India) Limited (CDSL) to provide remote e-voting facility to its Members and

Administrative Office: Paragon Centre, C-06, Ground Floor, Pandurang Budhkar Marg, Worli, Mumbai - 400 013. **Tel:** +91 22 6629 9299 **Fax:** +91 22 6629 9105 **Email**: compliance@emkayglobal.com
Registered Office: The Ruby,7th Floor, Senapati Bapat Marg, Dadar (West), Mumbai - 400 028. **Tel:** +91 22 6612 1212 **Fax:** +91 22 6612 1299 **Website**: www.emkayglobal.com **CIN: L67120MH1995PLC084899**

EMKAY GLOBAL FINANCIAL SERVICES LIMITED

the remote e-voting period begins on Monday, 14th September, 2026 at 9:00 A.M. IST and will end on Tuesday, 13th October, 2026 at 5:00 P.M. (IST). The results of the Postal Ballot will be announced as per applicable provisions of the Companies Act, 2013 and SEBI Listing Regulations from the conclusion of Remote e-voting period.

The Postal Ballot Notice has also been made available on the website of the Company at https://www.emkayglobal.com/ir-postal-ballot.

Kindly take the above information on your record.

Yours faithfully

For **Emkay Global Financial Services Limited**

NISHANT SHRIDHAR SHIRKE Digitally signed by NISHANT SHRIDHAR SHIRKE Date: 2026.09.10 11:32:44 +05'30'

Nishant S. Shirke
Company Secretary and Compliance Officer

Administrative Office: Paragon Centre, C-06, Ground Floor, Pandurang Budhkar Marg, Worli, Mumbai - 400 013. **Tel:** +91 22 6629 9299 Fax: +91 22 6629 9105 **Email**: compliance@emkayglobal.com
Registered Office: The Ruby,7th Floor, Senapati Bapat Marg, Dadar (West), Mumbai - 400 028. **Tel:** +91 22 6612 1212 **Fax:** +91 22 6612 1299 **Website**: www.emkayglobal.com **CIN: L67120MH1995PLC084899**

Emkay©

Your success is our success

EMKAY GLOBAL FINANCIAL SERVICES LIMITED
CIN No. L67120MH1995PLC084899

Registered Office: The Ruby, 7th Floor, Senapati Bapat Marg, Dadar (West), Mumbai-400028.
Website: www.emkayglobal.com | T: 022-66121212 | Fax: 022-66121299
Email: secretarial@emkayglobal.com

POSTAL BALLOT NOTICE

[Pursuant to Section 110 of the Companies Act, 2013 read with Rule 22 of the Companies (Management and Administration) Rules, 2014]

Dear Member(s),

NOTICE is hereby given that pursuant to the provisions of Sections 108 and 110 of the Companies Act, 2013 **("the Act")** read with Rule 20 and Rule 22 of the Companies (Management and Administration Rules), 2014 **("the Rules")** [including any statutory modification(s) or re-enactment thereof for the time being in force], Regulation 44 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 **("SEBI Listing Regulations")**, Secretarial Standard on General Meetings **("SS-2")** issued by the Institute of Company Secretaries of India to the extent applicable read with the General Circular Nos. 14/ 2020 dated 8th April, 2020, 17/ 2020 dated 13th April, 2020, read with other relevant circulars, including General Circular No. 03/2025 dated 22nd September 2025, issued by the Ministry of Corporate Affairs **("MCA Circulars"**) and any other applicable laws and regulations, the Resolution as set out below is proposed to be passed by the Members of Emkay Global Financial Services Limited (**"the Company"**) by way of Postal Ballot, only by electronic voting (e-voting) process **("remote e-voting").**

The proposed Special Resolution along with the Explanatory Statement pursuant to Section 102(1) and other applicable provisions of the Act read with the Rules setting out the material facts relating to the Resolution proposed in this Postal Ballot Notice and additional information as required under the SEBI Listing Regulations and circulars issued thereunder and reasons thereof are given hereunder:

SPECIAL BUSINESS:

To approve the appointment of Mr. Rajesh Shah (DIN: 06390775) as a Non-Executive Independent Director of the Company for a period of five years, with effect from 19th August, 2026

To consider and if thought fit, to pass the following resolution as a **Special Resolution:**

"RESOLVED that pursuant to the provisions of Sections 149, 150, 152 and other applicable provisions, if any, of the Companies Act, 2013 (**"the Act"**) read with the Companies (Appointment and Qualification of Directors) Rules, 2014 (including any statutory modification(s) or re-enactment thereof for the time being in force), Schedule IV of the Act and other applicable Regulations of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 (**"SEBI Listing Regulations"**), as may be amended from time to time, any other applicable laws, the provisions of Articles of Association of the Company and subject to such other approvals and permission, as may be required, and pursuant to the recommendation of the Nomination, Remuneration and Compensation Committee, Mr. Rajesh Shah (DIN: 06390775) who was appointed by the Board of Directors of the Company as an Additional Non-Executive Independent Director of the Company with effect from 19th August, 2026 and who meets the criteria for independence as provided in Section 149(6) of the Act along with the Rules framed thereunder and regulation 16(1) of the SEBI Listing Regulations and who has submitted a declaration to the effect and in respect of whom the Company has received a notice in writing from a Member under Section 160(1) of the Act proposing his candidature for the office of Director of the Company, be and is hereby appointed as a Non Executive Independent Director of the Company, to hold office for a term of five consecutive years with effect from 19th August, 2026 upto 18th August, 2031 (both days inclusive) **AND THAT** he shall not be liable to retire by rotation during the said period, in terms of the provisions of Section 149(13) of the Companies Act, 2013;.

RESOLVED FURTHER that the Board of Directors and/ or the Company Secretary of the Company be and are hereby severally authorised to execute all such agreements, documents, instruments and writings as deemed necessary, file requisite forms or applications with the Ministry of Corporate Affairs or any other statutory/regulatory authorities, with the power to settle all questions, difficulties or doubts that may arise, in this regard, as he/she may in its sole and absolute discretion deem fit and to do all such acts, deeds, matters and things as may be considered necessary and appropriate and the Board of the Company (including any Committee thereof) may delegate all or any of aforesaid powers to any Director(s)/Officer(s) of the Company, to give effect to this resolution."

Order of the Board of Directors
For **Emkay Global Financial Services Limited**

Date : 4th September, 2026
Place : Mumbai

Nishant S. Shirke
Company Secretary and Compliance Officer

Emkay Global Financial Services Limited

CIN: L67120MH1995PLC084899

Registered Office:
The Ruby, 7th Floor,
Senapati Bapat Marg,
Dadar (West), Mumbai – 400028.
Tel. No.: 022-66121212
Email: secretarial@emkayglobal.com

Notes:

1. The Explanatory Statement pursuant to the provisions of Section 102 and other applicable provisions of the Companies Act, 2013 (“**The Act**”) read with the Rules, setting out all material facts relating to the Resolution proposed in the Postal Ballot Notice and additional information as required under the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, as amended from time to time, (“**SEBI Listing Regulations”),** is annexed hereto and forms part of this Notice.

2. The Ministry of Corporate Affairs (“**the MCA**”) vide its circulars, has permitted companies to conduct the postal ballot by sending the notice in electronic form only. Accordingly, physical copy of the postal ballot notice along with postal ballot form and pre-paid business reply envelope is not being sent to the Members for this Postal Ballot. The communication of the assent or dissent of the Members would take place through the process of remote e-voting only.

3. The Postal Ballot Notice is being sent to the members only by email to the Members whose names appear in the Register of Members/List of Beneficial Owners, as received from the Depositories [i.e. National Securities Depository Limited (**“NSDL”**) and Central Depository Services (India) Limited (“**CDSL”**)] as on the close of business hours on **Tuesday, 8th September, 2026 (“Cut-Off Date”),** and whose e-mail addresses are registered with the Depositories / Company’s Registrar to an Issue and Share Transfer Agent viz ‘MUFG Intime India Private Limited’ in accordance with the provisions of the Act read with Rules made thereunder and MCA Circulars.

   In line with the MCA Circulars, the Postal Ballot Notice will also be available on the Company’s website https://www.emkayglobal.com/ir-postal-ballot for download. The Postal Ballot Notice can also be accessed from the websites of the Stock Exchanges i.e. BSE Limited and National Stock Exchange of India Limited at www.bseindia.com and www.nseindia.com respectively. The Postal Ballot Notice is also disseminated on the website of CDSL (agency for providing the Remote e-Voting facility) i.e. www.evotingindia.com.

4. Members, who have not registered their email address can get their email address registered with the Depository Participant, with whom the demat account is maintained and Members holding shares in physical mode, may register their email address and mobile no. with MUFG Intime India Private Limited, Registrar to an Issue and Share Transfer Agent of the Company by sending form ISR-1 and other relevant forms at C-101, 247 Park, L.B.S. Marg, Vikhroli (West), Mumbai – 400083 or at email id investor.helpdesk@in.mpms.mufg.com.

5. The Cut-off date for the purpose of determining the eligibility to vote by electronic means and for reckoning voting rights shall be **Tuesday, 8th September, 2026** (**“Cut-off Date”**). Members holding equity shares of the Company as on the Cut-off Date (“Eligible Members”) only shall be entitled to vote through Remote e-Voting process in relation to the resolution specified in this Postal Ballot Notice. A person who becomes a Member after the Cut-off Date is not eligible to vote and should treat this Postal Ballot Notice for information purpose only.

6. The Remote e-Voting period begins on **Monday**, **14th September, 2026**, at 9:00 a.m. (IST) and ends on **Tuesday, 13th October, 2026** at 5:00 p.m. (IST). During the Remote e-voting period, the documents, as referred to in this Postal Ballot Notice would be available for inspection by the Members in electronic mode only until 5:00 p.m. of the last date of remote e-voting on the Postal Ballot i.e**. Tuesday, 13th October, 2026** and Members can inspect the same by sending an email at secretarial@emkayglobal.com.

7. The last date of the remote e-voting i.e. **Tuesday, 13th October, 2026** shall be the date on which the resolutions would be deemed to have been passed, if passed by the requisite majority.

8. A Member need not use all his/her/its votes nor does he/she/it need to cast all his/her/its votes in the same way.

9. For any queries in relation to voting through Postal Ballot or e-voting, members may contact Registrar to an Issue and Share Transfer Agent (RTA) i.e. M/s. MUFG Intime India Private Limited, C 101, Embassy 247 Park, L B S Marg, Vikhroli (West), Mumbai - 400083. (Email: investor.helpdesk@in.mpms.mufg.com) or write an email to CDSL on email id helpdesk.evoting@cdslindia.com.

10. **VOTING THROUGH ELECTRONIC MEANS:**

In terms of Regulation 44 of the SEBI Listing Regulations, as amended and Section 108 and Section 110 of the Companies Act, 2013 read with Rule 20 and Rule 22 of the Companies (Management and Administration) Rules, 2014, as amended and the relevant MCA Circulars and SEBI Circular No. SEBI/HO/CFD/CMD/CIR/P/2020/242 dated December 9, 2020 (SEBI Circular) read with SEBI Master circular HO/49/14/14(7)2025-CFD-POD2/I/3762/2026 for compliance with the provisions of the SEBI Listing Regulations by listed entities dated 30th January, 2026, Secretarial Standard on General Meetings (SS-2) issued by the Institute of Company Secretaries of India and any amendments thereto, the listed entities are required to provide Remote e-Voting facility to its shareholders, in respect of all shareholders' resolutions. Currently, there are multiple e-voting service providers (ESPs) providing e-voting facility to listed entities in India. This necessitates registration on various ESPs and maintenance of multiple user IDs and passwords by the shareholders. In order to increase the efficiency of the voting process, pursuant to a public consultation, it has been decided to enable e-voting to all the demat account holders, by way of a single login credential, through their demat accounts / websites of Depositories / Depository Participants. Demat account holders would be able to cast their vote without having to register again with the ESPs, thereby, not only facilitating seamless authentication but also enhancing ease and convenience of participating in e-voting process.

The Company is pleased to provide the e-voting facility to its eligible Members to exercise their right to vote by electronic means on the businesses specified in the Postal Ballot Notice. For this purpose, the Company has entered into an agreement with CDSL for facilitating voting through electronic means.

The voting rights of the Members shall be in proportion to their shares in the paid-up equity share capital of the Company as on the cut-off date, i.e. **Tuesday, 8th September, 2026.**

The Board of Directors of the Company has appointed Mr. P. N. Parikh (Membership No. FCS 327) failing him Mr. Mitesh Dhabliwala (Membership No. FCS 8331) and failing him Ms. Sarvari Shah (Membership No. FCS 9697) of M/s Parikh & Associates, Practicing Company Secretaries, Mumbai to act as Scrutinizer for conducting the Postal Ballot (remote e-voting) process in a fair and transparent manner.

The Scrutinizer shall after the conclusion of remote e-voting unblock the votes cast through remote e-voting in the presence of at least two witnesses not in the employment of the Company. Upon completion of the scrutiny of the votes cast through remote e-voting, the Scrutinizer will submit his / her report to the Chairman / Managing Director of the Company and the result of the Postal Ballot will be announced as per applicable provisions of the Companies Act, 2013 and SEBI Listing Regulations from the conclusion of the Postal Ballot e-voting. The said result along with the Scrutinizer's Report will be displayed at Registered Office of the Company and uploaded on the Company's website www.emkayglobal.com and the website of CDSL at www.cdslindia.com The result will simultaneously be communicated to BSE Limited and National Stock Exchange of India Limited.

11. **Procedure for E-Voting**

i. The remote e-voting period begins on Monday, 14th September, 2026 at 09:00 a.m. (IST) and ends on Tuesday, 13th October, 2026, 5:00 p.m. (IST). During this period Members' of the Company, holding shares either in physical form or in dematerialized form, as on the cut-off date Tuesday, 8th September, 2026, (including those Members who are Members on the cut off date and who may not receive this postal ballot notice due to non-registration of their email address with RTA or the DPs, as applicable) may cast their vote electronically. The e-voting module shall be disabled by CDSL for voting thereafter.

ii. In terms of SEBI circular no. SEBI/HO/CFD/CMD/CIR/P/2020/242 dated December 9, 2020 on e-Voting facility provided by Listed Companies, Individual Members holding securities in demat mode are allowed to vote by way of a single login credential, through their demat accounts / websites of Depositories / DPs.

Pursuant to the SEBI circular no. SEBI/HO/CFD/CMD/CIR/P/2020/242 dated December 9, 2020, Login method for e-Voting for Individual Members holding securities in Demat mode is given below:

Type of shareholders	Login Method
Individual Shareholders holding securities in Demat mode with **CDSL depository**	1) Users who have opted for CDSL Easi / Easiest facility, can login through their existing user id and password. Option will be made available to reach e-Voting page without any further authentication. The users to login to Easi / Easiest are requested to visit CDSL website www.cdslindia.com and click on login icon & My Easy New (Token) Tab. 2) After successful login the Easi / Easiest user will be able to see the e-Voting option for eligible companies where the evoting is in progress as per the information provided by company. On clicking the evoting option, the user will be able to see e-Voting page of the e-Voting service provider for casting your vote during the remote e-Voting period. Additionally, there are also links provided to access the system of all e-Voting Service Providers, so that the user can visit the e-Voting service providers' website directly. 3) If the user is not registered for Easi/Easiest, option to register is available at CDSL website www.cdslindia.com and click on login & New System My Easy New (Token) Tab and then click on registration option. 4) Alternatively, the user can directly access e-Voting page by providing Demat Account Number and PAN No. from an e-Voting link available on www.cdslindia.com home page. The system will authenticate the user by sending OTP on registered Mobile & Email as recorded in the Demat Account. After successful authentication, user will be able to see the e-Voting option where the e-voting is in progress and also able to directly access the system of all e-Voting Service Providers.

Type of shareholders	Login Method
Individual Shareholders holding securities in demat mode with **NSDL depository**	1) If you are already registered for NSDL IDeAS facility, please visit the e-Services website of NSDL. Open web browser by typing the following URL: https://eservices.nsdl.com either on a Personal Computer or on a mobile. Once the home page of e-Services is launched, click on the "Beneficial Owner" icon under "Login" which is available under 'IDeAS' section. A new screen will open. You will have to enter your User ID and Password. After successful authentication, you will be able to see e-Voting services. Click on "Access to e-Voting" under e-Voting services and you will be able to see e-Voting page. Click on company name or e-Voting service provider name and you will be re-directed to e-Voting service provider website for casting your vote during the remote e-Voting period. 2) If the user is not registered for IDeAS e-Services, option to register is available at https://eservices.nsdl.com Select "Register Online for IDeAS "Portal or click at https://eservices.nsdl.com/SecureWeb/IdeasDirectReg.jsp 3) Visit the e-Voting website of NSDL. Open web browser by typing the following URL: https://www.evoting.nsdl.com/ either on a Personal Computer or on a mobile. Once the home page of e-Voting system is launched, click on the icon "Login" which is available under 'Shareholder/ Member' section. A new screen will open. You will have to enter your User ID (i.e. your sixteen digit demat account number hold with NSDL), Password/OTP and a Verification Code as shown on the screen. After successful authentication, you will be redirected to NSDL Depository site wherein you can see e-Voting page. Click on company name or e-Voting service provider name and you will be redirected to e-Voting service provider website for casting your vote during the remote e-Voting period. 4) For OTP based login you can click on https://eservices.nsdl.com/SecureWeb/evoting/evotinglogin.jsp. You will have to enter your 8-digit DP ID,8-digit Client Id, PAN No., Verification code and generate OTP. Enter the OTP received on registered email id/mobile number and click on login. After successful authentication, you will be redirected to NSDL Depository site wherein you can see e-Voting page. Click on company name or e-Voting service provider name and you will be re-directed to e-Voting service provider website for casting your vote during the remote e-Voting period.
Individual Shareholders (holding securities in demat mode) login through their **Depository Participants (DP)**	5) You can also login using the login credentials of your demat account through your Depository Participant registered with NSDL/CDSL for e-Voting facility. After Successful login, you will be able to see e-Voting option. Once you click on e-Voting option, you will be redirected to NSDL/CDSL Depository site after successful authentication, wherein you can see e-Voting feature. Click on company name or e-Voting service provider name and you will be redirected to e-Voting service provider website for casting your vote during the remote e-Voting period.

Important note: Members who are unable to retrieve User ID/ Password are advised to use Forget User ID and Forget Password option available at abovementioned website.

Helpdesk for Individual Shareholders holding securities in demat mode for any technical issues related to login through Depository i.e. CDSL and NSDL

Login type	Helpdesk details
Individual Shareholders holding securities in Demat mode with **CDSL**	Members facing any technical issue in login can contact CDSL helpdesk by sending a request at helpdesk.evoting@cdslindia.com or contact at toll free no 1800 21 09911.
Individual Shareholders holding securities in Demat mode with **NSDL**	Members facing any technical issue in login can contact NSDL helpdesk by sending a request at evoting@nsdl.co.in or call at 022-4886 7000.

iii. Login method for Remote e-Voting for **Physical shareholders and shareholders other than individual holding in Demat form.**

1) The shareholders should log on to the e-voting website www.evotingindia.com.
2) Click on "Shareholders" module.
3) Now enter your User ID
   a. For CDSL: 16 digits beneficiary ID,
   b. For NSDL: 8 Character DP ID followed by 8 Digits Client ID,
   c. Shareholders holding shares in Physical Form should enter Folio Number registered with the Company.
4) Next enter the Image Verification as displayed and Click on Login.
5) If you are holding shares in demat form and had logged on to www.evotingindia.com and voted on an earlier e-voting of any company, then your existing password is to be used.
6) If you are a first-time user follow the steps given below:

	For Physical shareholders and other than individual shareholders holding shares in Demat.
PAN	• Enter your 10 digit alpha-numeric *PAN issued by Income Tax Department (Applicable for both demat shareholders as well as physical shareholders) • Shareholders who have not updated their PAN with the Company/ Depository Participant are requested to use the sequence number sent by Company/RTA or contact Company/RTA.
Dividend Bank Details **OR** Date of Birth (DOB)	• Enter the Dividend Bank Details or Date of Birth (in dd/mm/yyyy format) as recorded in your demat account or in the company records in order to login. • If both the details are not recorded with the depository or company, please enter the member id / folio number in the Dividend Bank details field.

a. After entering these details appropriately, click on "SUBMIT" tab.

b. Shareholders holding shares in physical form will then directly reach the Company selection screen. However, shareholders holding shares in demat form will now reach 'Password Creation' menu wherein they are required to mandatorily enter their login password in the new password field. Kindly note that this password is to be also used by the demat holders for voting for resolutions of any other company on which they are eligible to vote, provided that company opts for e-voting through CDSL platform. It is strongly recommended not to share your password with any other person and take utmost care to keep your password confidential.

c. For shareholders holding shares in physical form, the details can be used only for e-voting on the resolution contained in this Notice.

d. Click on the EVSN for **Emkay Global Financial Services Limited** on which you choose to vote.

e. On the voting page, you will see "RESOLUTION DESCRIPTION" and against the same the option "YES/NO" for voting. Select the option YES or NO as desired. The option YES implies that you assent to the Resolution and option NO implies that you dissent to the Resolution.

f. Click on the "RESOLUTION FILE LINK" if you wish to view the entire Resolution details.

g. After selecting the resolution, you have decided to vote on, click on "SUBMIT". A confirmation box will be displayed. If you wish to confirm your vote, click on "OK", else to change your vote, click on "CANCEL" and accordingly modify your vote.

h. Once you "CONFIRM" your vote on the resolution, you will not be allowed to modify your vote.

i. You can also take a print of the votes cast by clicking on "Click here to print" option on the Voting page.

j. If a demat account holder has forgotten the login password then Enter the User ID and the image verification code and click on Forgot Password & enter the details as prompted by the system.

k. There is also an optional provision to upload BR/POA if any uploaded, which will be made available to scrutinizer for verification.

iv. **Additional Facility for Non – Individual Shareholders and Custodians – For Remote Voting only**

- Non-Individual shareholders (i.e. other than Individuals, HUF, NRI etc.) and Custodians are required to log on to www.evotingindia.com and register themselves in the "Corporates" module.
- A scanned copy of the Registration Form bearing the stamp and sign of the entity should be emailed to helpdesk.evoting@cdslindia.com.
- After receiving the login details a Compliance User should be created using the admin login and password. The Compliance User would be able to link the account(s) for which they wish to vote on.
- The list of accounts linked in the login will be mapped automatically and can be delink in case of any wrong mapping.
- It is mandatory that, a scanned copy of the Board Resolution and Power of Attorney (POA) which they have issued in favour of the Custodian, if any, should be uploaded in PDF format in the system for the scrutinizer to verify the same.
- Alternatively Non-Individual shareholders are required mandatory to send the relevant Board Resolution/ Authority letter etc. together with attested specimen signature of the duly authorized signatory who are authorized to vote, to the Scrutinizer and to

the Company at the email address viz; cs@parikhassociates.com and/or secretarial@emkayglobal.com if they have voted from individual tab & not uploaded same in the CDSL e-voting system for the scrutinizer to verify the same.

v. **PROCESS FOR THOSE SHAREHOLDERS WHOSE EMAIL/MOBILE NO. ARE NOT REGISTERED WITH THE COMPANY/DEPOSITORIES.**

1. For Physical shareholders - Please provide necessary details like Folio No., Name of shareholder, scanned copy of the share certificate (front and back), PAN (self attested scanned copy of PAN card), AADHAR (self- attested scanned copy of Aadhar Card) by email to **Company at secretarial@emkayglobal.com and/or M/s. MUFG Intime India Private Limited, the Registrar to an Issue and Share Transfer Agent of the Company at investor.helpdesk@in.mpms.mufg.com**

2. For Demat shareholders - Please update your email id & mobile no. with your respective Depository Participant (DP)

3. For Individual Demat shareholders – Please update your email id & mobile no. with your respective Depository Participant (DP) which is mandatory while e-Voting through Depository.

If you have any queries or issues regarding e-Voting from the CDSL e-Voting System, you can write an email to **helpdesk.evoting@cdslindia.com** or contact at the toll free no. 1800 21 09911.

All grievances connected with the facility for voting by electronic means may be addressed to Mr. Rakesh Dalvi, Sr. Manager, (CDSL) Central Depository Services (India) Limited, A Wing, 35th Floor, Marathon Futurex, Mafatlal Mill Compounds, N M Joshi Marg, Lower Parel (East), Mumbai - 400013 or send an email to **helpdesk.evoting@cdslindia.com** or call at the toll free no. 1800 21 09911.

A person, whose name is recorded in the register of members or in the register of beneficial owners maintained by the depositories as on the cut-off date shall only be entitled to avail the facility of remote e-voting.

12. **Dematerialization of Shares**

Members who still hold share certificate(s) in physical form are advised to dematerialize their shareholding (since trading is permitted in dematerialized form only) to avail the benefits of dematerialization, which includes easy liquidity, electronic transfer, savings in stamp duty and elimination of any possibility of loss of documents. Further, effective April 1, 2019, requests for effecting transfer of securities are not being processed unless the securities are held in a dematerialized form with a depository. SEBI vide its circular dated January 25, 2022, has also advised listed companies and Registrar to an Issue and Share Transfer Agent to issue shares in dematerialised form while processing service requests for transmission, transposition, issue of duplicate certificates, renewal, splitting, consolidation of share certificate etc. Therefore, the Members who still hold share certificate(s) in physical form are advised to dematerialize their shareholding at the earliest.

13. **Updating of KYC details and Nomination**

Members holding shares in physical form are requested to register/update their KYC details including email address by submitting duly filled and signed Form ISR-1 along with such other documents as prescribed in the Form to the RTA of the Company. Form ISR-1 is available on the website of the Company at https://www.emkayglobal.com/forms and on the website of RTA at https://web.in.mpms.mufg.com/KYC-downloads.html.

For necessary guidance on the process for updation, the Members can also reach the RTA of the Company at C-101, 247 Park, L.B.S. Marg, Vikhroli (West), Mumbai – 400083 or Email at – investor.helpdesk@in.mpms.mufg.com for any clarification.

Dividend/interest etc. shall be paid through electronic mode with effect from April 01, 2024, only upon furnishing and updating the details of PAN, Choice of Nomination, Contact Details including Mobile Number, Bank Account Details and Specimen Signature in respect of physical folios.

SEBI vide further circular dated June 10, 2024, has provided that of the aforesaid details, if only "choice of nomination" is pending from a Member, holding shares in physical form, dividend need not be withheld. However, if either of the other particulars viz the PAN, contact details including mobile number, bank account details and specimen signature are not provided by the Member, then the dividend shall be kept in the unpaid dividend account and be paid electronically only upon furnishing all the aforesaid details. Members holding shares in dematerialized form are requested to approach their respective DPs for updating above mentioned details.

Order of the Board of Directors
For **Emkay Global Financial Services Limited**

Date : 4th September, 2026
Place : Mumbai

Nishant S. Shirke
Company Secretary and Compliance Officer

Emkay Global Financial Services Limited

CIN: L67120MH1995PLC084899

Registered Office:
The Ruby, 7th Floor,
Senapati Bapat Marg,
Dadar (West), Mumbai – 400028.
Tel. No.: 022-66121212
Email: secretarial@emkayglobal.com

EXPLANATORY STATEMENT PURSUANT TO SECTION 102 OF THE COMPANIES ACT, 2013

Pursuant to the provisions of Sections 149, 150, 152 of the Companies Act, 2013 (“The Act”) read with the applicable Rules made thereunder, and the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 (“SEBI Listing Regulations”) and as recommended by the Nomination, Remuneration and Compensation Committee (NRC), the Board of Directors of the Company has appointed Mr. Rajesh Shah (DIN: 06390775) as an Additional Non Executive Independent Director of the Company for a period of 5 (five) years with effect from 19th August, 2026 to 18th August, 2031 (both days inclusive) not liable to retire by rotation, subject to the approval of the Members and such other approvals and permissions, as may be required.

The Company has received a Notice from a Member under section 160 of the Act proposing the candidature of Mr. Rajesh Shah for the office of Independent Director on the Board of Directors of the Company. His profile and nature of expertise in financial areas, as received by the Company, is given hereunder:

Brief Profile of Mr. Rajesh Shah

Mr. Rajesh Kailashchandra Shah, is a Commerce graduate and an Associate Member of the Institute of Chartered Accountants of India.

He has corporate experience of about 3 decades across various businesses and roles in Aditya Birla Group in areas of Business Review, Budgeting, MIS, Corporate Accounts, Strategic Planning & Business Development, M&A, Commercial, Risk Management, Internal Controls etc.

He has served as Chief Financial Officer - Cement Division (Century Textiles & Industries Limited) since November 2015 till the division was merged with UltraTech Cement Limited.

He is presently self-employed and manages his own investment portfolio.

Mr. Rajesh Shah has given his consent for appointment as an Independent Director of the Company and has also confirmed that he has not incurred any disqualification under Section 164 (2) of the Act. The Company has also received a declaration from Mr. Rajesh Shah that he meets the criteria of independence as prescribed, both, under Section 149(6) of the Act and regulation 16(1)(b) of the SEBI Listing Regulations and that he is not debarred from holding the office of director by virtue of any order passed by the Securities and Exchange Board of India / Ministry of Corporate Affairs or any such statutory authority.

Mr. Rajesh Shah is eligible to be appointed as an Independent Director of the Company.

He does not hold by himself or for any other person on a beneficial basis any shares in the Company

Rational for Selection:

Mr. Rajesh Shah, possess the requisite knowledge, skills and experience in the area of Business Review, Budgeting, MIS, Corporate Accounts, Strategic Planning and Business Development, M&A, Commercial, Risk Management, Internal Controls which is as per the core skills identified by the Board.

Considering the balance skills, knowledge and experience on the Board, the NRC has evaluated and reviewed the candidature of Mr. Rajesh Shah and based on requisite competencies, qualifications, skillsets, experience, independence, ability to devote sufficient time and attention, has recommended his appointment to the Board.

The Board considered the recommendation of NRC and opined that Mr. Rajesh Shah possesses the requisite skill sets as identified by the Board and fulfils the conditions as specified under the Act and the Rules framed thereunder and SEBI Listing Regulations for appointment of Mr. Rajesh Shah as an

Independent Director.

In the opinion of the Board, the appointment of Mr. Rajesh Shah as an Independent Director on the Board of the Company will benefit and add value to the Board deliberations and the Company.

Inspection

A copy of the draft Letter of Appointment for Independent Directors, setting out terms and conditions of appointment of Independent Director is available for inspection at the Registered Office / Corporate Office of the Company.

Details required as per Regulation 36(3) of the Listing Regulations and Secretarial Standard on General Meetings are provided in Annexure - A to this Notice.

Except Mr. Rajesh Shah being an appointee and his relatives, none of the other Directors, Key Managerial Personnel of the Company or relatives of Director/Key Managerial Personnel are in any way deemed to be concerned or interested financially or otherwise in the resolution set out at in the Notice.

The Board recommends the Special Resolution as set out in this Postal Ballot Notice for approval by the Members.

Order of the Board of Directors
For **Emkay Global Financial Services Limited**

Date : 4th September, 2026
Place : Mumbai

Nishant S. Shirke
Company Secretary and Compliance Officer

Emkay Global Financial Services Limited

CIN: L67120MH1995PLC084899

Registered Office:
The Ruby, 7th Floor,
Senapati Bapat Marg,
Dadar (West), Mumbai – 400028.
Tel. No.: 022-66121212
Email: secretarial@emkayglobal.com

ANNEXURE ‘A’

ANNEXURE TO THE POSTAL BALLOT NOTICE

[Pursuant to Regulation 36(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 and Secretarial Standard on General Meetings issued by the Institute of Company Secretaries of India]

Name of the Director	**Mr. Rajesh Shah**
DIN	06390775
Age	58 years (Date of Birth- 29th August, 1968)
Date of first appointment on the Board	19th August, 2026
Education Qualifications	Chartered Accountant
Brief resume including expertise in specific functional areas	Mr. Rajesh Shah, has corporate experience of about 3 decades in areas of Business Review, Budgeting, MIS, Corporate Accounts, Strategic Planning & Business Development, M&A, Commercial, Risk Management, Internal Controls etc.
Terms and conditions of appointment/ re appointment	Appointment of Mr. Rajesh Shah as Non Executive Independent Director of the Company, not liable to retire by rotation, to hold office for a period of five years commencing from 19th August, 2026 upto 18th August, 2031, on passing of the Special Resolution by the Members.
Details of remuneration to be paid	Mr. Rajesh Shah is entitled to sitting fees for attending the Meetings of the Board of Directors and Committees where he is / would be a member, including reimbursement of expenses for attending Board/Committee Meetings. In addition, he would be entitled to Commission as permitted under the Companies Act, 2013 and as determined each year by the Board of Directors within the limits approved by the Members of the Company.
Details of remuneration last paid (Fixed pay – FY 2025-26)	Not Applicable
Directorships held in other companies	AB General Electoral Trust
Chairmanship / Membership of committees of other companies	Nil
Details of listed entities from which the person has resigned in the past three years	Nil
Disclosure of relationship with other Directors and Key Managerial Personnel	Mr. Rajesh Shah is not related to any of the Directors or Key Managerial Personnel of the Company.
Number of meetings of the Board attended for F.Y 2025-26	Not Applicable
Number of Equity Shares held in the Company by himself or on beneficial basis for any other person as on 4th September, 2026	Nil

Emkay©

Your success is our success

www.emkayglobal.com