

NWML/SEC/2027/22

June 13, 2026

The Manager,  
Listing Department,  
**BSE Limited**,  
Phiroze Jeejeebhoy Tower,  
Dalal Street,  
Mumbai - 400 001.  
**BSE Scrip Code: 543988**

The Manager,  
Listing Department,  
**National Stock Exchange of India Ltd.**,  
Exchange Plaza, 5<sup>th</sup> Floor, Plot C/1, G Block,  
Bandra - Kurla Complex, Bandra (E),  
Mumbai - 400 051.  
**NSE Symbol: NUVAMA**

**Subject: - Intimation under Regulation 30 read with Para A of Part A of Schedule III of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("the Listing Regulations")**

Dear Sir(s) / Madam(s),

Pursuant to the provisions of Regulation 30 read with Para A of Part A of Schedule III of the Listing Regulations, we would like to inform the Exchanges regarding the Order passed by NSE Clearing Limited with respect to Nuvama Clearing Services Limited ("NCSL"), a wholly owned material subsidiary of the Company.

There is no impact on the financials, operations or other activities of the Company or its subsidiaries/associates, pursuant to the above letter.

The details as required under Regulation 30 of the Listing Regulations are attached as an **Annexure - I**.

Kindly take the same on record.

Thanking you,

Yours faithfully,

**For Nuvama Wealth Management Limited**

**Sneha Patwardhan**  
**Company Secretary and Compliance Officer**

**Encl: as above**

<b>Annexure I</b>	
<b>Name of the authority</b>	NSE Clearing Limited
<b>Nature and details of the action(s) taken, initiated or order(s) passed</b>	An appeal filed by Nuvama Clearing Services Limited (“NCSL”), a wholly owned material subsidiary of the Company, for waiver of penalty of Rs. 10,84,438.40/- due to non-submission of client collateral segregation is rejected by the Appeal Panel.
<b>Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority</b>	June 12, 2026
<b>Details of the violation(s)/contravention(s) committed or alleged to be committed</b>	The appeal order is pursuant to a review request raised for penalty due to non-submission of client collateral segregation data by a trading member affiliated to NCSL in the F&O segment.
<b>Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible.</b>	There is no impact on the financials or operations or other activities of the listed entity.