A-ONE STEELS INDIA LIMITED
(Formerly known as A-One Steels India Private Limited and A-One Steel and Alloys Private Limited)

Registered Office : A One House No. 326, CQAL Layout, Ward No.08, Sahakar Nagar, Bengaluru - 560092 Karnataka, India
Phone: 080-45646000
Email: info@aonesteelgroup.com
Web: www.aonesteelgroup.com
CIN : U28999KA2012PLC063439

November 05, 2026

To
Listing Department
National Stock Exchange of India Limited
Exchange Plaza, C-1, Block G,
Bandra Kurla Complex, Bandra (E),
Mumbai – 400 051
NSE Scrip Symbol: AONESTEELS

Department of Corporate Services
Bombay Stock Exchange Limited
20th Floor, P.J. Towers,
Dalal Street,
Mumbai – 400 001.
BSE Scrip Code: 544952

Dear Sir/ Madam,

Sub: Reporting of violation related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015

This is with reference to the Company's Code of Conduct for Prevention of Insider Trading to regulate, monitor and report trading by Designated Persons and other Connected Persons as per the requirements of regulation 9 of Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015.

Please find enclosed herewith the report related to the violation of Company's Code of Conduct for Prevention of Insider Trading by a Designated Person, in the format prescribed by SEBI vide its Circular HO/43/15/12(3)2025-ISD-POD2/I/11734/2026 dated May 15, 2026 as Annexure -A.

Kindly take the above information on record.

Thanks & Regards,
For A-One Steels India Limited
(Formerly known as A-One Steels India Private Limited and A-One Steel and Alloys Private Limited)

POOJA S N
Digitally signed by POOJA S N
Date: 2026.11.05 17:59:56 +05'30'

Pooja Sara Nagaraja
Company Secretary and Compliance Officer
Membership No: A52496

Registered Office : A One House No. 326, CQAL Layout, Ward No.08, Sahakar Nagar, Bengaluru - 560092 Karnataka, India
Phone: 080-45646000
Email: info@aonesteelgroup.com
Web: www.aonesteelgroup.com
CIN : U28999KA2012PLC063439

Annexure -A:

Report by A-One Steels India Limited for violations related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

Sr. No.	Particulars	Details
1	Name of the listed company/ Intermediary/Fiduciary	A-One Steels India Limited
2	Please tick appropriate checkbox Reporting in capacity of: ☐ Listed Company ☐ Intermediary ☐ Fiduciary	Listed Company
3	**A. Details of Designated Person (DP)**	
	i. Name of the DP	Srinivasa Rao Pothina
	ii. PAN of the DP	AOYPP0540C
	iii. Designation of DP	Designated Person and Senior managerial person
	iv. Functional Role of DP	General Manager – Operations
	v. Whether DP is Promoter or belongs to Promoter Group	No
	B. If Reporting is for immediate relative of DP- Not Applicable	
	i. Name of the immediate relative of DP	NA
	ii. PAN of the immediate relative of DP	NA
	C. Details of transaction(s)	
	i. Name of the scrip	Name of the Company: A-One Steels India Limited BSE Scrip Code: 544952 NSE symbol: AONESTEELS
	ii. No of shares traded and value (Rs.) (Date- wise)	Sale of 43 Equity Shares – Rs. 16,168.20 on October 28, 2026

Registered Office : A One House No. 326, CQAL Layout, Ward No.08, Sahakar Nagar, Bengaluru - 560092 Karnataka, India
Phone: 080-45646000
Email: info@aonesteelgroup.com
Web: www.aonesteelgroup.com
CIN : U28999KA2012PLC063439

	D. In case value of trade(s) is more than Rs.10 lacs in a calendar Quarter-Not Applicable	
	i. Date of Intimation of trade(s) by concerned DP/ Director/ Promoter/ Promoter Group to Company under Regulation 7 of the SEBI (PIT) Regulations, 2015.	NA
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	NA
4	Details of violations observed under Code of Conduct	Sale of 43 equity shares of the Company during the closure of the Trading Window by Mr. Srinivasa Rao Pothina (Designated Person and Senior Managerial Personnel), in contravention of the SEBI (Prohibition of Insider Trading) Regulations, 2015 and the Company's Code of Conduct for Prevention of Insider Trading.
5	Action taken by Listed company/ Intermediary/ Fiduciary	The Company has issued a written warning to Mr. Srinivasa Rao Pothina to adhere cognizance in the future and to ensure strict compliance with the SEBI (Prohibition of Insider Trading) Regulations, 2015 and the Company's Code of Conduct.
6	Reasons recorded in writing for taking action stated above	Explanation was sought from Mr. Srinivasa Rao Pothina by the Compliance Officer following the unauthorized trade. The Designated Person acknowledged the contravention and submitted that the sale of 43 equity shares was inadvertent and executed automatically by his stock broker to clear an overdue settlement balance without his prior consent or specific instruction. He further clarified that the transaction was neither deliberate nor intended to breach the Company's Code of Conduct or the SEBI PIT Regulations, 2015. Mr. Srinivasa Rao Pothina further assured that he will exercise due care and ensure strict compliance in terms of the SEBI (Prohibition of Insider Trading) Regulations, 2015 and the Company's Code of Conduct. Being an inadvertent instance of violation under the Code of Conduct, a warning letter has been issued to ensure due compliance,

Registered Office : A One House No. 326, CQAL Layout, Ward No.08, Sahakar Nagar, Bengaluru - 560092 Karnataka, India
Phone: 080-45646000
Email: info@aonesteelgroup.com
Web: www.aonesteelgroup.com
CIN : U28999KA2012PLC063439

		emphasizing that in future he will not deal in the Company's shares in violation of the Company's Code of Conduct or the applicable provisions of the SEBI (Prohibition of Insider Trading) Regulations, 2015. Additionally, Mr. Srinivasa Rao Pothina clarified that at the time of execution of the transaction, there was no possession of, access to, or knowledge of any unpublished price-sensitive information (UPSI) relating to the Company, including its unpublished financial results, and that the transaction was not undertaken on the basis of any UPSI or with an intention to derive any undue advantage. The Designated Person expressed sincere regret for the inadvertent occurrence and has undertaken to exercise heightened diligence, maintain strict adherence to applicable trading-window restrictions, and fulfill all insider-trading compliance requirements going forward. The Company has no tolerance for any violation of the Code of Conduct and/ or the SEBI PIT Regulations. The Company believes that the action indicated above would be an appropriate measure to address the violation and also serve to discourage any future instances of violation by any DP or their immediate relatives.
7	Details of the previous instances of violations, if any, since last financial	Nil
8	**If any amount collected for Code of Conduct violation(s)- No**	
	i. Mode of transfer to SEBI – IPEF (Net Banking/ NEFT/RTGS/ Debit Cards/ UPI) ii. Details of transfer/payment In case of Online:	Not Applicable

Registered Office : A One House No. 326, CQAL Layout, Ward No.08, Sahakar Nagar, Bengaluru - 560092 Karnataka, India
Phone: 080-45646000
Email: info@aonesteelgroup.com
Web: www.aonesteelgroup.com
CIN : U28999KA2012PLC063439

Particulars	Details
Name of the Transferor	
Bank Name, Branch, Account Number	
UTR/Transaction reference number	
Transaction date	
Transaction amount (in Rs.)	

9	Any other relevant information	—

Yours faithfully,

For A-One Steels India Limited
(Formerly known as A-One Steels India Private Limited and A-One Steel and Alloys Private Limited)

POOJA S N
Digitally signed by POOJA S N
Date: 2026.11.05 18:00:46 +05'30'

Pooja Sara Nagaraja
Company Secretary and Compliance Officer
Membership No: A52496
PAN: DXRPP5141L
Email ID: legal@aonesteelgroup.com
Date:- 05/11/2026
Place:- Bangalore