BEFORE THE APPELLATE AUTHORITY
(Under the Right to Information Act, 2005)
SECURITIES AND EXCHANGE BOARD OF INDIA

Appeal No. 7164 of 2026

Dilip Srivastava	:	Appellant
	Vs	
CPIO, SEBI, Mumbai	:	Respondent

ORDER

1. The appellant had filed an application dated August 30, 2026 (received by SEBI through RTI MIS portal) under the Right to Information Act, 2005 ("**RTI Act**"). The respondent, by a letter dated September 23, 2026, responded to the application filed by the appellant. The appellant filed an appeal dated October 27, 2026 (Reg. No. SEBIH/A/E/26/00408). I have perused the application, the response of the respondent and the appeal and find that the matter can be decided based on the material available on record.

2. **Queries in the application** - The appellant, in his application, sought the following information:

   "Regarding SEBI- registered stockbroker entity. Entity Name : SANJAY AGARWAL BROKING LIMITED SEBI Registration Number :- INZ000097519 CIN : U67200WB2018PLC228741

   Subject: Request for information under RTI Act, 2005

   Information Required: Please Provied the official Name (S) and period of Tenure of the person (S) registered/ designated as the compliance officer for the aforementioned entity (Sanjay Agarwal Broking Limited) from the financial year 2018 - 2019 upto the current financial year 2025 - 2026. "

3. **Reply of the Respondent** – The respondent, in response to the application, stated that the information sought is not maintained by SEBI.

4. **Ground of appeal** — The appellant has filed the appeal on the ground that he was refused access to the information requested.

5. I have perused the application and the response provided thereto. I note that the respondent has categorically stated that the requested information is not maintained by SEBI. I note that the respondent can only provide information that is available in the records. In this context, I note that the Hon'ble Supreme Court of India in *Central Board of Secondary Education & Anr. vs. Aditya Bandopadhyay & Ors* (Judgment dated August 9, 2011) held that *"The RTI Act provides access to all information that is available and existing. This is clear from a combined reading of section 3 and the definitions of `information' and `right to information' under clauses (f) and (j) of section 2 of the Act. If a public authority has any information in the form of data or analysed data, or abstracts, or statistics, an applicant may access such information, subject to the exemptions in section 8 of the Act. But where the information sought is not a part of the record of a public authority, and where such information is not required to be maintained under any law or the rules or regulations of the public authority, the Act does not cast an obligation upon the public authority, to collect or collate such non-available information and then furnish it to an applicant*." Accordingly, I do not find any deficiency in the response of the respondent.

6. In view of the above observations, I find that there is no need to interfere with the decision of the respondent. The appeal is accordingly dismissed.

RUCHI CHOJER
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Date: 2026.11.19 10:27:07 +05'30'

Place: Mumbai
Date: November 19, 2026

RUCHI CHOJER
APPELLATE AUTHORITY UNDER THE RTI ACT
SECURITIES AND EXCHANGE BOARD OF INDIA