GAJA CAPITAL

**Ref : GAJA/CS/06/2026-27** **Date:** September 03, 2026

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|---|---|
| To,<br>**National Stock Exchange of India Limited (“NSE”)**<br>Listing Department<br>Exchange Plaza, C-1 Block G, Bandra Kurla Complex Bandra [E], Mumbai – 400051 | To,<br>**BSE Limited (“BSE”)**<br>Listing Department<br>Corporate Relationship Department<br>Phiroze Jeejeebhoy Towers,<br>Dalal Street, Fort, Mumbai - 400 001 |
| NSE Scrip Symbol**: GAJA** | BSE Scrip Code**: 544885** |
| ISIN**:** INE18UN01038 | ISIN**:** INE18UN01038 |

**Sub: Disclosure under Regulation 30 read with Para A of Part A of Schedule III of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 – Outcome of Board Meeting held on September 03, 2026**

Dear Sir/Ma’am,

Pursuant to Regulations 30, 42 and other applicable provisions of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI Listing Regulations"), we wish to inform you that the Board of Directors of Gaja Alternative Asset Management Limited at its meeting held today, i.e., Thursday, September 03, 2026, inter alia, considered and approved/noted the following:

1. **Resignation of Statutory Auditors**

The Board took note of the resignation of M/s. M/s Nangia & Co. LLP, Chartered Accountants (FRN: 002391C/N500069), from the position of Statutory Auditors of the Company with effect from conclusion of 27th Annual General Meeting.

The details required under Regulation 30 read with Schedule III of the SEBI Listing Regulations are enclosed as **Annexure A&B**

2. **Appointment of Statutory Auditors**

Based on the recommendation of the Audit Committee, the Board recommended the appointment of M/s. **M/s Price Waterhouse Chartered Accountants LLP** (FRN: 012754N/ N500016), as Statutory Auditors of the Company, subject to the approval of shareholders at the ensuing Annual General Meeting.

The disclosure pursuant to Regulation 30 of the SEBI Listing Regulations is enclosed as **Annexure C.**

3. **Continuation of Directorship beyond 75 Years**

The Board approved and recommended for shareholders' approval the continuation of directorship of Mr. Upendra Kumar Sinha (DIN: 00010336) as Non-Executive Non-Independent Director of the Company upon attaining the age of seventy-five (75) years, pursuant to Regulation 17(1A) of the SEBI Listing Regulations.

4. **Annual General Meeting**

The Board approved convening the 27th Annual General Meeting (AGM) of the Company on Saturday, September 26, 2026 at 12:00 Noon through VC/OAVM.

5. **Record Date / Cut-off Date**

The Board fixed Saturday 19, 2026 as the Record Date/Cut-off Date for the purpose of:

- Determining entitlement of members to receive dividend for the financial year ended March 31, 2026, subject to shareholders' approval at the AGM; and/or
- Determining eligibility of members for remote e-voting and e-voting at the AGM.

The meeting commenced at 11:00 A.M. (IST) and concluded at 11:45 A.M. (IST).

The details are also posted on the company's website at www.gajacapital.com
This is for your information and records.
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Thanking You,
For **Gaja Alternative Asset Management Limited**

Ishu Jain Digitally signed by Ishu Jain Date: 2026.09.03 12:05:10 +05'30'

**Ishu Jain**
**Company Secretary and Compliance Officer**
**Membership No.: F10679**
Address: 1402 Tower 2B One World Center, Senapati Bapat Marg Lower Parel, Delisle Road, Mumbai, Mumbai, Maharashtra, India, 400013
**Encl: a/a**

**Annexure A**

**Disclosure regarding Resignation of Statutory Auditors**

| **Particulars** | **Details** |
|---|---|
| **Name of Auditor** | M/s Nangia & Co. LLP, Chartered Accountants |
| **Reason for resignation** | During the previous financial year 2025-26, the paid-up share capital of the Company has exceeded the threshold prescribed under Section 139{2) of the Companies Act, 2013, triggering the requirement for rotation of the Statutory Auditor.<br>Consequently, we propose to rotate out as the Statutory Auditors of the Company with effect from the conclusion of the 27th Annual General Meeting of the Company and have tendered our resignation. |
| **Effective date of resignation** | **September 26, 2026(Conclusion of 27th AGM)** |
| **Detailed reasons** | **Refer resignation letter attached as Annexure B** |
| **Any concerns raised by auditor** | **No** |
| **Information required under SEBI Circulars** | **Attached** |

# NANGIA & CO LLP

CHARTERED ACCOUNTANTS

01 September 2026

To,
The Board of Directors
**Gaja Alternative Asset Management Limited**
1402, Tower 2B, One World Center
Senapati Bapat Marg, Lower Parel
Delisle Road, Mumbai – 400 013
Maharashtra, India

**Subject: Resignation as Statutory Auditors of Gaja Alternative Asset Management Limited**

Dear Sirs,

We were re-appointed as Statutory Auditors of Gaja Alternative Asset Management Limited ("Company") pursuant to shareholder's resolution passed at the Annual General Meeting held on 30 September 2024 to hold office from the conclusion of that meeting until the conclusion of the Annual General Meeting to be held for the financial year ending 31 March 2029.

During the previous financial year 2025–26, the paid-up share capital of the Company has exceeded the threshold prescribed under Section 139(2) of the Companies Act, 2013, triggering the requirement for rotation of the Statutory Auditor. Accordingly, the auditor rotation requirement has become applicable for the financial year 2026–27.

Consequently, we propose to rotate out as the Statutory Auditors of the Company with effect from the conclusion of the 27th Annual General Meeting of the Company and hereby tender our resignation.

We further request the Board and Those Charged with Governance (TCWG) to take note of our resignation.

We thank the Company for the opportunity to serve as its Statutory Auditor and place on record our appreciation for the cooperation extended to us during our association with the Company.

Thanking you,

For and on behalf of
Nangia & Co LLP, Chartered Accountants
Firm Reg. No.: 002391C/N500069

NANGIA & CO. LLP NOIDA CHARTERED ACCOUNTANTS

Shalu Kedia
Partner

**Annexure A**

**Format of information to be obtained from the Statutory auditor upon resignation**

| | | |
|---|---|---|
| 1. | Name of the listed entity | Gaja Alternative Asset Management Limited |
| 2. | Details of the statutory auditor: | |
| | a) Name | Nangia & Co LLP |
| | b) Address | A-109, Sector-136, Noida (Delhi-NCR), Uttar Pradesh, India |
| | c) Phone Number | + 91 120 259 8000 |
| | d) Email | info@nangia.com |
| 3. | Details of association with the listed entity/ material subsidiary: | |
| | a) Date on which the statutory auditor was re-appointed: | September 30, 2024 |
| | b) Date on which the term of the statutory auditor was scheduled to expire | September 30, 2029 |
| | c) Prior to resignation, the latest audit report/limited review report submitted by the auditor and date of its submission. | 1. We have issued the Latest audit report for financial year 2025-26 dt. June 04, 2026; and<br>2. We will issue Limited Review report for the First quarter ended June 30, 2026. |
| 4. | Detailed reasons for resignation: | During the previous financial year 2025–26, the paid-up share capital of the Company has exceeded the threshold prescribed under Section 139(2) of the Companies Act, 2013, triggering the requirement for rotation of the Statutory Auditor.<br>Consequently, we propose to rotate out as the Statutory Auditors of the Company with effect from the conclusion of the 27th Annual General Meeting of the Company and have tendered our resignation. |
| 5. | In case of any concerns, efforts made by the auditor prior to resignation (including approaching the Audit Committee/Board of Directors along with the date of communication made to the Audit Committee/Board of Directors) | None |
| 6. | In case the information requested by the auditor was not provided, then following shall be disclosed: | None |
| | *a) Whether the inability to obtain sufficient appropriate audit evidence was due to a management-imposed limitation or circumstances beyond the control of the management.* | NA |
| | *b) Whether the lack of information would have significant impact on the financial statements/results.* | NA |
| | *c) Whether the auditor has performed alternative procedures to obtain appropriate evidence for the purposes of audit/limited review as laid down in SA 705 (Revised)* | NA |
| | *d) Whether the lack of information was prevalent in the previous reported financial statements/results. If yes, on what basis the previous audit/limited review reports were issued.* | NA |
| 7. | Any other facts relevant to the resignation: | **NA** |

**Declaration**

1. *We hereby confirm that the information given in this letter and its attachments is correct and complete.*
2. *We hereby confirm that there is no other material reason other than those provided above for my resignation/ resignation of my firm.*

Shalu Kedia

Shalu Kedia
Partner

NANGIA & CO. LLP * CHARTERED ACCOUNTANTS * NOIDA

## Annexure C

## Disclosure under Regulation 30 read with Schedule III of SEBI (LODR) Regulations, 2015 - Appointment of Statutory Auditors

| Particulars | Details |
| --- | --- |
| **Name of Auditor** | M/s Price Waterhouse Chartered Accountants LLP |
| **Reason for change** | Appointment as Statutory Auditors pursuant to resignation of existing auditors |
| **Date of Appointment** | September 26, 2026 (subject to shareholder approval where applicable) |
| **Term of Appointment** | Five consecutive years commencing from the conclusion of the $27^{th}$ Annual General Meeting until the conclusion of the $32^{nd}$ Annual General Meeting of the Company |
| **Brief Profile** | **<u>Price Waterhouse Chartered Accountants LLP</u>**<br><br>Price Waterhouse Chartered Accountants LLP, (the "Firm") having a Firm Registration No. 012754N/ N500016, is a firm of Chartered Accountants registered with the Institute of Chartered Accountants of India. The Firm was established in the year 1991 and was converted into a limited liability partnership in the year 2014. The registered office of the Firm is at Sucheta Bhawan, 11A Vishnu Digambar Marg, New Delhi - 110 002 and has seventeen (17) branch offices in various cities in India. The Firm is primarily engaged in providing auditing and other assurance services to its clients and is a member firm of Price Waterhouse & Affiliates, a network of firms registered with the Institute of Chartered Accountants of India having Network Registration No. NRN/E/14. Price Waterhouse & Affiliates is a network of separate, distinct and independent Indian Chartered Accountant firms, each of which is registered with the Institute of Chartered Accountants of India. The Firm has more than 120 Assurance Partners as of April 1, 2026. It has a valid peer review certificate and audits various companies listed on stock exchanges in India. |
| **Relationship with Directors** | None |