

June 15, 2026

The Manager, Listing Department, BSE Limited, Phiroze Jeejeebhoy Tower, Dalal Street, Mumbai 400 001. Tel no.: 22721233 Fax No.: 22723719/ 22723121/ 22722037 BSE Scrip Code: 542773	The Manager, Listing Department, The National Stock Exchange of India Ltd., Exchange Plaza, 5th Floor, Plot C/1, G Block, Bandra - Kurla Complex, Bandra (E), Mumbai 400 051. Tel No.: 2659 8235 Fax No.: 26598237/ 26598238 NSE Symbol: IIFLSEC
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Sub: Intimation under Regulation 30 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

Dear Sir/madam,

Pursuant to Regulation 30 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("SEBI Listing Regulations"), we wish to inform you that the Board of Directors of the Company, by way of a circular resolution passed on June 15, 2026, has designated Mr. Amit Gupta as the Chief Compliance Officer (CCO) of the Company in place of Mr. Prasad Umarale. Mr. Amit Gupta has also been identified as a Senior Management Personnel of the Company.

The details required under Regulation 30 of the SEBI Listing Regulations read with SEBI Master Circular No. HO/CFD/PoD2/CIR/P/0155 dated January 30, 2026 (as amended from time to time) are enclosed herewith as **Annexure – I**.

Kindly take the above on record and oblige.

Thanking you,

Yours faithfully,

**For IIFL Capital Services Limited
(Formerly IIFL Securities Limited)**

**Meghal Shah
Company Secretary**

Encl: As above

Annexure -I

Disclosure of information pursuant to Regulation 30 of the SEBI Listing Regulations read with SEBI Master Circular No. HO/CFD/PoD2/CIR/P/0155 dated January 30, 2026 (as amended from time to time) are enclosed herewith as **Annexure – I**.

Sr. No	Particular	Description
1	Reason for change viz. appointment, resignation, removal, death or otherwise;	To designate Mr. Amit Gupta as the Chief Compliance Officer (CCO) of the Company in place of Mr. Prasad Umarale. Mr. Amit Gupta has also been identified as a Senior Management Personnel (SMP) of the Company.
2	Date of appointment/cessation (as applicable) & term of appointment;	<p>Date of appointment of Mr. Amit Gupta as CCO and SMP: June 15, 2026.</p> <p>Term of appointment: Full-time employment with the Company.</p> <p>Date of cessation of Mr. Prasad Umarale as CCO and SMP: June 15, 2026.</p>
3	Brief profile (in case of appointment);	<p>Mr. Amit Gupta is a Chartered Accountant with over two decades of experience in regulatory compliance, corporate governance, and risk management across the financial services sector. His expertise spans Portfolio Management Services (PMS), Alternative Investment Funds (AIFs), Registered Investment Advisers (RIAs), and Wealth Management, Offshore Fund structures and Mutual Funds where he has played a pivotal role in building and strengthening compliance frameworks, enhancing governance standards, and driving effective risk oversight.</p> <p>Prior to joining the Company, he served as Group Chief Compliance Officer at ASK Investment Managers, where he led regulatory compliance, regulatory liaison, and enterprise-wide compliance transformation initiatives. He brings extensive experience in regulatory affairs, policy advocacy, compliance automation, and risk monitoring, having worked closely with domestic and global institutional stakeholders, regulators, and industry bodies to strengthen governance frameworks and drive best-in-class compliance practices.</p>
4	Disclosure of relationships between directors (in case of appointment of a director).	Not Applicable