

BEFORE THE APPELLATE AUTHORITY
(Under the Right to Information Act, 2005)
SECURITIES AND EXCHANGE BOARD OF INDIA

Appeal No. 7074 of 2026

Chandrashekar Palimar Shenoy : Appellant

Vs

CPIO, SEBI, Mumbai : Respondent

ORDER

1. The appellant had filed an application dated August 08, 2026 (received by SEBI through RTI MIS portal) under the Right to Information Act, 2005 (“**RTI Act**”). The respondent, by a letter dated August 31, 2026, responded to the application filed by the appellant. The appellant filed an appeal dated September 05, 2026 (Reg. No. SEBIH/A/E/26/00336). I have perused the application, the response of the respondent and the appeal and find that the matter can be decided based on the material available on record.

2. **Queries in the application** - The appellant, in his application, sought the following information:

“As a retail stock trader DP ID 1208360000xxxxxx I lost money since my trading member Profit Mart Securities Pvt Ltd SEBI registration number INZ000004737 failed to provide a pretrade alert with the necessary instructions outlined in NSE circular CML69345 about Right Issue shares In connection with this I have filed three complaints under the SEBI SCORE application wide numbers SEBIKN26BENG0175xxxx SEBIKN26BENG0177xxx and SEBIKN26BENG01xxx

My account has been blocked on the SEBI SCORE portal since ninety days thus Im applying for RTI

Through this application I hereby seek that the ATR that trading member Profit Mart Securities Pvt Ltd submitted in response to the three complaints listed above be certified by SEBI which is required for legal proceedings”

3. **Reply of the Respondent** – The respondent, in response to the application, informed that a complaint lodged in SEBI Complaint Redress System (SCORES) aids in tracking the status of the complaints anytime by the investor while also providing them notifications from time to time with respect to their complaints.

Further, the respondent informed that three SCORES complaint nos. mentioned in the application were closed as they were duplicates of complaint no. SEBIEJKN26/BENG/013278/1 which was already handled on SCORES. The respondent also enclosed copies of ATR of all the four complaints as downloaded from SCORES platform.

4. **Ground of appeal** – The appellant has filed the appeal on the ground that he was provided incomplete, misleading or false information.
5. I have perused the application and the response provided thereto. On consideration, I note that the respondent has enclosed along with his reply, copy of ATRs of all the four complaints of the appellant as downloaded from SCORES platform. However, I note that the appellant, in his appeal, has *inter alia*, contended that certified copies of the ATR were not provided to him. Considering the aforementioned contention of the appellant, I find that the application warrants reconsideration.
6. Considering the above observations, I remit the application to the respondent for *de novo* consideration and sending appropriate response to the appellant in terms of RTI Act, within 30 days from the date of receipt of this order.
7. The appeal is accordingly disposed of.

Place: Mumbai

Date: October 01, 2026

RUCHI CHOJER

APPELLATE AUTHORITY UNDER THE RTI ACT
SECURITIES AND EXCHANGE BOARD OF INDIA