Tel. No: +91 22 4962 0337
Email ID: compliance.corp@kfintech.com
Website: https://www.kfintech.com

November 25, 2026 CS&G/STX/DQ2026/17

1) **National Stock Exchange of India Limited**
Exchange Plaza, C-1, Block G,
Bandra Kurla Complex, Bandra (E),
Mumbai – 400 051
Scrip Symbol: KFINTECH

2) **BSE Limited**
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai – 400 001
Scrip Code: 543720

Sub. : Disclosure under sub-para 20 of Para A of Part A of Schedule III of Regulation 30 of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 ("LODR Regulations")

Dear Sir / Madam,

Pursuant to Regulation 30 of the LODR Regulations, this is to inform that the Company has received an adjudication order from Securities and Exchange Board of India ("**SEBI**") *vide* its email dated November 25, 2026, in the matter of Vanita Krishnakant Gandhi, imposing a monetary penalty of Rs. 10,00,000/- (Rupees Ten Lakh only) on the Company under Section 15HB of the SEBI Act, 1992.

The details as required under sub-para 20 of Para A of Part A of Schedule III of the LODR Regulations is given below:

Sr. No.	Particulars	Details
01	Name of the Authority	Securities and Exchange Board of India ("**SEBI**")
02	Nature and details of the action(s) taken or order(s) passed	SEBI has passed an adjudication order in the matter of Vanita Krishnakant Gandhi imposing a monetary penalty of Rs. 10,00,000/- on the Company under Section 15HB of the SEBI Act, 1992.
03	Date of receipt of direction or order, including any ad-interim or interim orders, or any other communication from the authority	November 25, 2026
04	Details of the violation(s) / contravention(s) committed or alleged to be committed	The matter relates to a transmission-cum-dematerialisation request concerning certain shares of Balkrishna Industries Limited, processed by the Company in its capacity as a Registrar to an Issue and Share Transfer Agent ("**RTA**").
05	Impact on financial, operation or other activities of the listed entity, quantifiable in monetary terms to the extent possible	The financial impact arising from the aforesaid matter had already been duly accounted for in the financial statements of the Company for the Financial Year 2025-26 and hence there will be no further financial impact during the Financial Year 2026-27 or later.

Tel. No: +91 22 4962 0337
Email ID: compliance.corp@kfintech.com
Website: https://www.kfintech.com

In accordance with Regulation 30(13) of the LODR Regulations read with SEBI's Circular No. SEBI/HO/CFD/CFD-PoD-2/P/CIR/2025/25 dated February 25, 2025, the summary of key elements of the said communication is enclosed herewith in the prescribed **Form A**.

Further, in respect of the captioned matter, I the undersigned, state and declare that the information and details provided in Form A, in compliance with Regulation 30(13) of the LODR Regulations, is true, correct and complete to the best of my knowledge and belief.

Details as per BSE's Circular No. 20230714-34 dated July 14, 2023, and NSE's Circular No. NSE/CML/2023/57 dated July 14, 2023, are as under:

Date of occurrence of event / information	November 25, 2026
Time of occurrence of event / information	At around 04:54 p.m.

This is for your information and records.

Thanking you,

Yours faithfully,

For KFin Technologies Limited

ALPANA UTTAM KUNDU
Digitally signed by ALPANA UTTAM KUNDU
Date: 2026.11.25 22:19:37 +05'30'

Alpana Kundu
Company Secretary and Compliance Officer
ICSI Membership No.: F10191
Encl.: a/a

Tel. No: +91 22 4962 0337
Email ID: compliance.corp@kfintech.com
Website: https://www.kfintech.com

Form A

Disclosure by KFin Technologies Limited regarding receipt of communication from regulatory, statutory, enforcement or judicial authority under the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015

[Regulation 30(13) – Disclosure of communication from regulatory, statutory, enforcement or judicial authority]

Sr. No.	Particulars	Details
1.	Name of the listed Company	KFin Technologies Limited ("**Company**")
2.	Type of communication received	The Company has received an official email communication from Securities and Exchange Board of India ("**SEBI**") enclosing the Adjudication Order passed by SEBI in the captioned matter.
3.	Date of receipt of communication	November 25, 2026
4.	Authority from whom communication received	SEBI
5.	Brief summary of the material contents of the communication received, including reasons for receipt of the communication	SEBI has passed an adjudication order in the matter of Vanita Krishnakant Gandhi in connection with a transmission-cum-dematerialisation request processed by the Company in its capacity as an RTA and has imposed a monetary penalty of Rs. 10,00,000/- under Section 15HB of the SEBI Act, 1992.
6.	Period for which communication would be applicable, if stated	Not applicable
7.	Expected financial implications on the listed Company, if any	The financial impact arising from the aforesaid matter had already been duly accounted for in the financial statements of the Company for the Financial Year 2025-26 and hence there will be no further financial impact during the Financial Year 2026-27 or later.
8.	Details of any aberrations / non-compliances identified by the authority in the communication	The matter relates to a transmission-cum-dematerialisation request concerning certain shares of Balkrishna Industries Limited, processed by the Company in its capacity as an RTA.
9.	Details of any penalty or restriction or sanction imposed pursuant to the communication	Monetary penalty of Rs. 10,00,000/- under Section 15HB of the SEBI Act, 1992.
10.	Action(s) taken by listed Company with respect to the communication	The Company is evaluating the adjudication order and considering appropriate legal remedies available under law.
11.	Any other relevant information	None