Pune E-Stock Broking Limited Member NSE BSE MCX

1198, Shukrawar Peth Subhashnagar Lane No. 3 Near Hirabaug Pune 411002
Tel.: +91 2041000600 **Web:** www.pesb.co.in **Email:** info@pesb.co.in
Fax: +91 2024498100 **CIN No.:** L67120PN2007PLC130374

November 13,2026

To,
The Manager- Listing Department,
BSE Limited
P. J. Towers, Dalal Street, Fort,
Mumbai - 400 001

Subject: Submission of Statement of Deviation/Variation under Regulation 32 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 for the Half year ended 30 September ,2026

Ref.: Scrip Code: 544141 (Pune E- Stock Broking Limited)

Dear Sir / Mam,

Pursuant to Regulation 32 of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 as amended read with SEBI circular no.CIR/CFD/CMD1/162/2019 dated December 24, 2019, we hereby confirm that during the half year ended on 30th September, 2026, there was no deviation or variation in the utilisation of proceeds of issuance of Convertible Warrants from the objects stated in the notice dated July 01, 2025 and February 19, 2026 calling Extraordinary General Meeting on July 23,2025 and March 13,2026 respectively.

A statement of deviation or variation, if any, for the Half year ended on 30th September,2026 in accordance with SEBI circular no. SEBI/HO/CFD-PoD-2/CIR/P/2024/185 dated December 31, 2024 duly reviewed by the Audit Committee of the Company is enclosed herewith.

You are requested to take the same on your record.

Thanking You,

Yours faithfully,

For Pune E- Stock Broking Limited

Ashwini Ashish Kulkarni

Digitally signed by Ashwini Ashish Kulkarni
DN: c=IN, o=Personal, postalCode=411006, l=Pune, st=Maharashtra, street=Flat No. 5 C3 Wing Manisarna Complex Pune City Pune City Maharashtra India 411009 Opp Tasare Bakery, title=0196, 2.5.4.20=c8e8160814292587aec4aec1e8ea3f0590ca5b9403968fca2922ccca904cd6eb14, serialNumber=2817334d653ba8b24a4e38020853f488,badb0cb07fc7eff652a0471dd90f59cc, email=naiare.ash@gmail.com, cn=Ashwini Ashish Kulkarni
Date: 2026.11.13 15:51:48 +05'30'

Ashwini Ashish Kulkarni
Company Secretary and Compliance Officer

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STATEMENT OF DEVIATION / VARIATION IN UTILISATION OF FUNDS RAISED

Name of Listed Entity	Pune E-Stock Broking Limited
Mode of Fund Raising	Preferential issue (Warrants)
Date of Raising Funds	July 23,2025 & March 13,2026
Amount Raised	**Total issue size- ₹71.64 Crores (₹ 34.20 Cr + 37.44 Cr)** **For issuance of warrants dated July 23, 2025- issue size ₹ 34.20 Cr.** On September 19, 2025, an amount of ₹8,55,00,000 was received, representing 25% of the total amount. On January 17, 2026, an amount of ₹1,28,25,000 was received towards the 75% balance amount payable in respect of 1,00,000 shares. On July 3, 2026, an amount of ₹1,28,25,000 was received towards the 75% balance amount payable in respect of 1,00,000 shares. Before August 14, 2026, an amount of ₹21,80,25,000 was received towards the 75% balance amount payable for 17,00,000 shares. **For issuance of warrants dated March 13, 2026- issue size ₹ 37.44 Cr.** On April 16,2026 an amount of ₹ 936,00,000 was received, representing 25% of the total amount
Report files for the Quarter end	30-09-2026
Monitoring Agency	Not Applicable
Monitoring Agency Name, if applicable	Not Applicable
Is there a Deviation / Variation in use of funds raised	No
If yes, whether the same is pursuant to change in terms of a contract or objects, which was approved by the shareholders	No
If Yes, Date of shareholder Approval	Not Applicable
Explanation for the Deviation	No deviation or variation in the utilisation of proceeds of issuance of Convertible Warrants from the objects stated in

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	the notice dated July 01, 2025 and February 19, 2026 calling Extraordinary General Meeting on July 23,2025 and March 13,2026 respectively.
Comments of the Audit Committee after review	No comments
Comments of the auditors, if any	No comments

OBJECTS FOR WHICH FUNDS HAVE BEEN RAISED AND WHERE THERE HAS BEEN A DEVIATION, IN THE FOLLOWING TABLE:

(Rs. Crores)

Original Objects	Modified Object, if any	Original Allocation	Modified allocation, if any	Funds Utilized	Amount of Deviation / Variation for the quarter according to applicable object	Remarks, if any
Objects stated in the notice dated July 01, 2025 and February 19, 2026 calling Extraordinary General Meeting on July 23,2025 and March 13,2026 respectively.	NA	71.64	NA	42.27	NIL	---

Deviation or variation could mean:

a) Deviation in the objects or purposes for which the funds have been raised
b) Deviation in the amount of funds actually utilised as against what was originally disclosed or,
c) Changes in terms of a contract referred to in the fund raising document i.e prospectus, letter of offer etc.